

## **Executive Summary**

### **AP-05 Executive Summary - 24 CFR 91.200(c), 91.220(b)**

#### **1. Introduction**

The 2021 Annual Action Plan (Action Plan) is the second action plan in the City of Auburn (City) Community Development Block Grant (CDBG) 2020-2024 Consolidated Plan (Con Plan) cycle. The Action Plan establishes annual goals and proposes programs and services funded during Fiscal Year (FY) 2021, beginning June 1, 2021, and ending May 31, 2022. The City receives CDBG funds from the U. S. Department of Housing and Urban Development (HUD) as an entitlement grant, and in turn, allocates funds for projects designed to achieve the goals and outcomes included in the Con Plan. The CDBG program provides a wide range of eligible community development and housing projects that benefit low- and moderate-income (LMI) persons.

According to HUD, the entitlement funds' primary objectives the availability, accessibility, affordability, and sustainability of 1) decent housing, 2) suitable living environments, and 3) expanding economic opportunities, principally for LMI persons. The CDBG regulations require that each activity meet one of the following national objectives: Benefit low- and moderate-income persons, aid in the prevention or elimination of slums or blight, Or activities addressing other community development needs.

The City's CDBG funding allocations are listed in the Annual Action Plan, administered by the City's Community Services Department (ACSD). The entitlement amount is supplemented by projected program income and with reprogrammed prior year funds. If CDBG programs receive an increase in unanticipated Program Income (PI) during the year, the following program activity will utilize the additional funds:

- New Housing Purchase, Construction, and Delivery

This Action Plan is submitted to HUD to fulfill the requirements for the Annual Action Plan set forth in 24.CFR §91.200(c) and §91.220(b).

## **2. Summarize the objectives and outcomes identified in the Plan**

This could be a restatement of items or a table listed elsewhere in the plan or a reference to another location. It may also contain any essential items from the housing and homeless needs assessment, the housing market analysis or the strategic plan.

The Action Plan identifies programs and services that will be used to meet the City's annual goals. The Housing and Community Development Act of 1974 created the CDBG Program, which included three primary objectives. Each activity for FY 2021 is linked to one of these federal objectives. The activities that will enable the City to achieve these objectives in FY 2021 are listed below:

### **Decent Housing**

Increasing the availability, accessibility, affordability, and sustainability of decent housing for LMI persons through:

1. New construction of single-family housing units.
2. Homebuyer down payment assistance.
3. Homebuyer mortgage assistance.
4. Rehabilitation assistance to qualified LMI homeowner.

### **Homeless Prevention**

Provides supportive services directly related to the prevention of homelessness to eligible individuals and families in danger of eviction, foreclosure, or homelessness or are currently homeless.

- Homeless prevention general population
- Service to HIV/AIDS populations (i.e. rental and mortgage assistance)

### **Suitable Living Environment**

Improve people's quality of life by creating suitable living environments for LMI persons through public services such as:

1. Youth, childcare, and after school programs
2. Supportive services for populations with special needs (e.g., seniors, persons with disabilities)
3. Services for those at risk of becoming homeless
4. Public improvements and infrastructure
5. Family supportive services

## **Economic Development**

Increase access to economic opportunities for LMI persons including (1) Industrial and manufacturing high-demand career opportunities for LMI persons, and (2) Small business development.

Grantees are required to expend at least seventy (70%) of CDBG funds on activities that meet the national objective of benefiting LMI persons. The activities being proposed in this Action Plan are one hundred percent (100%) intended to meet the person's needs.

As identified in Section NA-10 "Housing Needs Assessment" of the five-year Con Plan, one challenge facing the City is cost-burdened households. The Con Plan addresses how the City's rapid economic growth has created upward pressures on housing costs and property values in general due to increased demand. This Action Plan proposes to continue providing financial assistance to qualified households in areas such as housing rehabilitation and supportive services.

Also, CDBG funds were used to acquire approximately 2.2 acres of vacant property during FY 2017 to construct new affordable single-family homes. It is anticipated that this acquisition will provide residential lots for the future construction of seven affordable housing units, beginning in FY 2020. To complete the construction and marketing of new affordable housing, CDBG funds will be provided to the North Auburn Housing Development Corporation (NAHDC), a Community Based Development Corporation (CBDO), to subsidize the construction and marketing of affordable single-family housing units for qualified LMI homebuyers.

Finally, this Action Plan provides for allocating CDBG dollars to Public Improvements and Infrastructure within the Northwest Auburn Neighborhood. Public Improvements and infrastructure are identified as a high priority based upon the "Non-Housing Community Development Needs" Section NA-50 of the five-year Con Plan.

### **3. Evaluation of past performance**

This is an evaluation of past performance that helped lead the grantee to choose its goals or projects.

Accomplishments for the second year of the Con Plan, June 1, 2021, to May 31, 2022, will be included in the 2020 Consolidated Annual Performance and Evaluation Report (CAPER), available in the fall of 2022.

After completing the second year of the five-year Con Plan, the City has made significant progress in achieving many of its five-year goals and has surpassed several. Senior Supportive Services and Youth Supportive Services are expected to exceed the five-year goals set. The goal to expand economic opportunities available to LMI persons is also expected to surpass the goals set for this Con Plan. The goals to acquire land for new home construction, demolish and clear dilapidated buildings, and rehabilitate owner-occupied dwellings are on target to meeting the five-year goals set. It is anticipated



that the goals to provide rental, utility, mortgage, and homeless emergency assistance will drastically surpass the Con Plan goals.

New housing construction is underway. The City identified, acquired, and subdivided a vacant parcel of property for new housing construction. As a result, the City anticipates making significant progress toward achieving the new housing construction five-year goals during FY 2021.

The City will continue to work to surpass the Con Plan goals over the next year.

#### **4. Summary of Citizen Participation Process and consultation process**

Summary from citizen participation section of plan.

The ACSD is responsible for producing the Action Plan. This role requires the ACSD to work closely with several City departments, Auburn Housing Authority (AHA), NAHDC, and other community stakeholders during the planning process to ensure that the Action Plan addresses community needs. The ACSD solicits input from other City departments, residents, non-profits, the private sector, neighborhood groups, and faith-based organizations regarding current conditions and future needs.

ACSD actively seeks and provides citizens, the primary partner, numerous opportunities to provide input in developing the Action Plan, including:

- Publications and postings
- Public hearings
- Meetings with community organizations and agencies

#### **5. Summary of public comments**

This could be a brief narrative summary or reference an attached document from the Citizen Participation section of the Con Plan.

The ACSD received no public comments from the public hearings and the 30-day comment period relating to the original 2021 Annual Action.

#### **6. Summary of comments or views not accepted and the reasons for not accepting them**

ACSD did not receive any comments that were not accepted.

#### **7. Summary**

The City continues to focus on the provision of and/or the preservation of affordable housing. The City will allocate CDBG funds to housing activities to the extent funds are available. Also, ACSD continues to

work with the City's Economic Development Department to provide special economic development activities and industrial training as a means of providing economic opportunity for LMI citizens. This relates to the affordable housing strategy because resources to provide affordable housing are limited and essentially negligible compared to the needs. Improving family incomes is one means of making housing more affordable to more households.

The City continues to make strides in addressing community priorities and the goals set in the Con Plan.

## PR-05 Lead & Responsible Agencies – 91.200(b)

### 1. Agency/entity responsible for preparing/administering the Consolidated Plan

Describe the agency/entity responsible for preparing the Consolidated Plan and those responsible for administration of each grant program and funding source.

Agency Role	Name	Department/Agency
CDBG Administrator	Auburn	City of Auburn

Table 1 – Responsible Agencies

### Narrative (optional)

The City serves as the lead agency responsible for preparing the FY 2021 Annual Action Plan. Also, the City of Auburn Community Services Department (ACSD) is responsible for administering the programs covered by the Consolidated Plan and the Fiscal Year (FY) 2021 Annual Action Plan (Action Plan).

### Consolidated Plan Public Contact Information

The contact for ACSD staff assigned to receive inquiries and comments from the public and other stakeholders specific to the Annual Action Plan is as follows:

Alfred J. Davis, Sr.  
Community Services Director  
City of Auburn, Alabama  
144 Tichenor Avenue  
Office: 334-501-7275  
Fax: 334-501-7288  
adavis@auburnalabama.org

## **AP-10 Consultation – 91.100, 91.200(b), 91.215(I)**

### **1. Introduction**

The Action Plan planning process requires the jurisdiction to consult with local agencies and citizen stakeholders during the analysis of needs, develop strategies, and plan for activities to be funded. This process led the ACSD to discussions with citizens in stakeholder sessions, public meetings and hearings, consultation with local agencies and city departments, in-depth review of homelessness, and monitoring short-term and long-term needs of agencies serving LMI citizens in Auburn. This section describes, in detail, the findings of the planning process.

#### **Provide a concise summary of the jurisdiction’s activities to enhance coordination between public and assisted housing providers and private and governmental health, mental health and service agencies (91.215(I))**

During the Action Plan preparation, the ACSD staff consulted with the AHA and other assisted housing providers to determine the availability of affordable housing units receiving government assistance. An attempt was also made to determine the inventory of units available to special needs persons, such as handicapped, elderly, etc.

ACSD also consulted with local agencies, including the East Alabama Mental Health Center (EAMC), Unity Wellness (an HIV/AIDS provider), and the Lee County Health Department. ACSD consulted these agencies to determine the extent of need and the resources available to these organizations to address special needs populations. Housing that is affordable to their clients was the primary need identified in these consultations. Coordination and communication between these service agencies and assisted housing providers are encouraged as a means of providing affordable housing and necessary services to low-income individuals, as the need for affordable housing and services often overlap. The City will support additional affordable housing options when appropriate.

One essential consultation undertaken by the ACSD was to ascertain the existence of any issues related to lead-based paint (LBP) hazards. The ACSD determined that all AHA units have been remediated and contain no lead-based paint. All privately-owned assisted housing units in the Auburn area were built after 1980, and they contain no lead-based paint. The Lee County Department of Health staff reports that they have not encountered LBP poisoning cases during 2020 or the last Consolidated Plan cycle.

#### **Describe coordination with the Continuum of Care and efforts to address the needs of homeless persons (particularly chronically homeless individuals and families, families with children, veterans, and unaccompanied youth) and persons at risk of homelessness.**

The Continuum of Care (CoC) agency serving the City is the Alabama Rural Coalition for the Homeless (ARCH). The ARCH serves as the Collaborative Applicant and manages the Homeless Management

Information System (HMIS). It is the lead agency for the Balance of State Continuum of Care for the 42 rural counties in Alabama. The ACSD meets regularly with ARCH to ascertain the existing and planned resources that are available to meet the needs of those experiencing homelessness in the City. ARCH coordinates with local governments, religious institutions, non-profits, philanthropic organizations, shelter and permanent housing providers, property owners, and the private sector. ARCH engages citizens to develop and implement data-driven strategies to respond to the crisis of homelessness and to address its root causes.

ARCH works closely with the City to look for ways to provide housing through Permanent Supportive Housing and Emergency Solutions Grants (ESG) to families, families with children, veterans, and unaccompanied youth in the City. Lacking funding from the CDBG program to influence the supportive housing or short- and long-term shelters for displacement assistance, the City will support appropriate applications for displacement assistance funding by ARCH.

**Describe consultation with the Continuum(s) of Care that serves the jurisdiction's area in determining how to allocate ESG funds, develop performance standards for and evaluate outcomes of projects and activities assisted by ESG funds, and develop funding, policies and procedures for the operation and administration of HMIS**

The City is not an entitlement recipient of ESG funds due to its population. Any entity applying for ESG funding must apply under the balance of state ESG funds administered and allocated through the Alabama Department of Economic and Community Affairs (ADECA). The City would likely support an application by the ARCH or other qualified organization, provided it complied with the City zoning, subdivision, and planning ordinances.

Not being an ESG grantee, the City has no role in the administration of the HMIS.

**2. Describe Agencies, groups, organizations and others who participated in the process and describe the jurisdiction's consultations with housing, social service agencies and other entities**

**Table 2 – Agencies, groups, organizations who participated**

1	<b>Agency/Group/Organization</b>	AUBURN HOUSING AUTHORITY
	<b>Agency/Group/Organization Type</b>	PHA
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Public Housing Needs Non-Homeless Special Needs Market Analysis Economic Development Anti-poverty Strategy
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	The ACSD consulted with the AHA regarding sections of the Action Plan about public housing.
2	<b>Agency/Group/Organization</b>	Auburn Opelika Habitat for Humanity
	<b>Agency/Group/Organization Type</b>	Housing Services - Housing
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Public Housing Needs Homelessness Strategy Anti-poverty Strategy
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	The ACSD consulted with the Auburn Opelika Habitat for Humanity regarding the Affordable Housing sections of the Action Plan.
3	<b>Agency/Group/Organization</b>	North Auburn Housing Development Corporation
	<b>Agency/Group/Organization Type</b>	Housing

	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Market Analysis Anti-poverty Strategy
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	The ACSD consulted with North Auburn Housing Development Corporation (NAHDC) regarding the Affordable Housing sections of the Action Plan.
4	<b>Agency/Group/Organization</b>	Presbyterian Community Ministry
	<b>Agency/Group/Organization Type</b>	Housing Services - Housing
	<b>What section of the Plan was addressed by Consultation?</b>	Housing Need Assessment Homelessness Strategy Anti-poverty Strategy
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	The ACSD consulted with Presbyterian Community Ministry regarding the rehabilitation of existing housing units.
5	<b>Agency/Group/Organization</b>	Food Bank of East Alabama
	<b>Agency/Group/Organization Type</b>	Services-Elderly Persons Services-Persons with Disabilities Services-Victims of Domestic Violence Services-homeless Services-Health
	<b>What section of the Plan was addressed by Consultation?</b>	Non-Homeless Special Needs Anti-poverty Strategy
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	The ACSD consulted with the Food Bank of East Alabama regarding efforts to alleviate hunger.



6	<b>Agency/Group/Organization</b>	Auburn Day Care Centers, Inc.
	<b>Agency/Group/Organization Type</b>	Services-Children Services-Education
	<b>What section of the Plan was addressed by Consultation?</b>	Anti-poverty Strategy
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	The ACSD consulted with the Auburn Day Care Center, Inc. regarding comprehensive childcare and afterschool services and the needs of LMI parents who utilize these services.
7	<b>Agency/Group/Organization</b>	Joyland Child Development Center Inc.
	<b>Agency/Group/Organization Type</b>	Services-Children Services-Education
	<b>What section of the Plan was addressed by Consultation?</b>	Homeless Needs - Families with children Anti-poverty Strategy
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	The ACSD consulted with the Joyland Child Development Center, Inc. regarding comprehensive childcare and afterschool services and the needs of LMI parents who utilize these services.
8	<b>Agency/Group/Organization</b>	Boys and Girls Club of Greater Lee County
	<b>Agency/Group/Organization Type</b>	Services-Children Services-Education
	<b>What section of the Plan was addressed by Consultation?</b>	Homeless Needs - Families with children Homelessness Strategy Anti-poverty Strategy
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	The ACSD consulted with the Boys and Girls Club of Greater Lee County on services that primarily benefit youth and their families.

9	<b>Agency/Group/Organization</b>	Unity Wellness Center of East Alabama Medical Center
	<b>Agency/Group/Organization Type</b>	Services-Persons with HIV/AIDS Services-homeless
	<b>What section of the Plan was addressed by Consultation?</b>	Homeless Needs - Families with children Homelessness Strategy Anti-poverty Strategy
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	The ACSD consulted with the Unity Wellness Center of EAMC regarding the housing and public services of persons with HIV/AIDS.
10	<b>Agency/Group/Organization</b>	Salvation Army Lee County
	<b>Agency/Group/Organization Type</b>	Services-homeless
	<b>What section of the Plan was addressed by Consultation?</b>	Homeless Needs - Chronically homeless Homelessness Strategy Anti-poverty Strategy
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	The ACSD consulted with the Salvation Army Lee County regarding homelessness.
11	<b>Agency/Group/Organization</b>	Esperanza House
	<b>Agency/Group/Organization Type</b>	Services-Children Services-Education
	<b>What section of the Plan was addressed by Consultation?</b>	Homeless Needs - Families with children Anti-poverty Strategy
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	The ACSD consulted with Esperanza House regarding comprehensive afterschool programs, family services, and the needs of LMI parents who utilize these services.

12	<b>Agency/Group/Organization</b>	Alabama Rural Coalition for the Homeless (ARCH)
	<b>Agency/Group/Organization Type</b>	Services-homeless
	<b>What section of the Plan was addressed by Consultation?</b>	Homelessness Strategy Anti-poverty Strategy
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	The ACSD consulted with Alabama Rural Coalition for the Homeless regarding homelessness.
13	<b>Agency/Group/Organization</b>	Lee Russell Council of Government
	<b>Agency/Group/Organization Type</b>	Services-Elderly Persons
	<b>What section of the Plan was addressed by Consultation?</b>	Anti-poverty Strategy
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	The ACSD consults regularly with the Lee Russell Council of Governments regarding services for the elderly.
14	<b>Agency/Group/Organization</b>	City of Auburn Parks and Recreation Department
	<b>Agency/Group/Organization Type</b>	Services-Children
	<b>What section of the Plan was addressed by Consultation?</b>	Anti-poverty Strategy
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	The ACSD consulted with the Auburn Parks and Recreation Department regarding LMI youth access to youth recreation sports leagues.
15	<b>Agency/Group/Organization</b>	Twin Cedars Child Advocacy Center
	<b>Agency/Group/Organization Type</b>	Services-Children Services - Victims

	<b>What section of the Plan was addressed by Consultation?</b>	Homeless Needs - Families with children Anti-poverty Strategy
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	The ACSD consulted with Twin Cedars Child Advocacy Center regarding forensic interviews and counseling for victims of child abuse.
16	<b>Agency/Group/Organization</b>	Child Care Resource Center
	<b>Agency/Group/Organization Type</b>	Services-Children Services-Education
	<b>What section of the Plan was addressed by Consultation?</b>	Anti-poverty Strategy
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	The ACSD consulted with the Child Care Resource Center regarding comprehensive childcare and afterschool services and the needs of LMI parents who utilize these services.
17	<b>Agency/Group/Organization</b>	Internet Providers
	<b>Agency/Group/Organization Type</b>	Services - Broadband Internet Service Providers Services - Narrowing the Digital Divide
	<b>What section of the Plan was addressed by Consultation?</b>	Internet Access

	<p><b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b></p>	<p>Internet access is sold by Internet service providers (ISPs) delivering connectivity at a wide range of data transfer rates via various networking technologies. The City features six internet providers marketing residential services, including Charter Spectrum, AT&amp;T Internet, WOW!, CenturyLink, HughesNet, and Viasat Internet (formerly Exede). According to the latest FCC data, Viasat Internet and HughesNet are the strongest providers in the City as measured by coverage. Alabama state laws allow municipal governments to provide broadband services to residents. However, state statutes require municipal governments to conduct a referendum before providing services to residents and bar them from using local funds or local taxes to cover the initial investments needed in building out broadband infrastructure.</p>
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**Identify any Agency Types not consulted and provide rationale for not consulting**

**Other local/regional/state/federal planning efforts considered when preparing the Plan**

Name of Plan	Lead Organization	How do the goals of your Strategic Plan overlap with the goals of each plan?
Continuum of Care	Alabama Rural Coalition for the Homeless (ARCH)	ARCH's strategy to organize and deliver housing and services to meet the specific needs of people experiencing homelessness as they move to stable housing and maximum self-sufficiency corresponds to ACSD goals relating to homelessness.
2035 Long Range Transportation and Unified Plan	Auburn-Opelika Metropolitan Planning Organization (AOMPO)	AOMPO is responsible for carrying out the metropolitan transportation planning process for the Auburn-Opelika Urbanized Area, including transportation services to the greater Lee County area. AOMPO Strategic Plan overlap with the City's transportation and infrastructure plans.

Name of Plan	Lead Organization	How do the goals of your Strategic Plan overlap with the goals of each plan?
Lee Russell Public Transit Plan	Lee Russell Council of Governments	The Lee-Russell Public Transit Plan serves as a guide for public transportation in Lee-Russell counties. Lee-Russell Public Transit (LRPT) provides LMI and Senior services. The LRPT offers Dial-a-Ride service in Lee and Russell County, first-come, first-served, and space available service. LRPT provides curb-to-curb service only.
City of Auburn Capital Improvement Plan	City of Auburn Finance Department	The City of Auburn Capital Improvement Plan (CIP) addresses infrastructure needs in Auburn.
Northwest Auburn Neighborhood Plan	City of Auburn	The Northwest Auburn Neighborhood Plan's goal is to revitalize the neighborhood through further investment in infrastructure, and planning that encourages redevelopment, including affordable housing and incentives to attract business opportunities.
Analysis of Impediments to Fair Housing Choice	City of Auburn Community Services Department	The Analysis of Impediments to Fair Housing Choice (AI) provides essential and detailed information to policymakers, administrative staff, housing providers, lenders, and fair housing advocates on the availability, status, and affordability of housing in the City.
Annual PHA Plan	Auburn Housing Authority	AHA Public Housing Authority Action Plan addresses the AHA services, mission, goals, objectives, operations, and programs. Both AHA and the City aim to provide services to low-income, very low-income, and extremely low-income families.
Family Self-Sufficiency Action Plan	Auburn Housing Authority	AHA Family Self-Sufficiency (FSS) Program enables families to increase their earned income and reduce their dependency on welfare assistance and rental subsidies. Both the AHA and the City have goals to help families become self-sufficient and optimistically obtain homeownership.

**Table 3 – Other local / regional / federal planning efforts**

### **Narrative (optional)**

The City continues its efforts to involve other local agencies and organizations in the Action Plan's planning process. Outreach techniques include individual and joint meetings, emails, and conference calls. Local partners like the Auburn Opelika Habitat for Humanity, AHA, NAHDC, and PCM provide clarity when determining housing priorities. Likewise, agencies such as ARCH, Food Bank of East Alabama, Salvation Army Lee County, and Unity Wellness Center of East Alabama Medical Center help to align objectives addressing homelessness. The City believes that maximum input during the planning process results in an Annual Action Plan that aligns funding priorities with actual needs.

## **AP-12 Participation – 91.105, 91.200(c)**

### **1. Summary of citizen participation process/Efforts made to broaden citizen participation Summarize citizen participation process and how it impacted goal-setting**

The City has adopted and adheres to a Citizen Participation Process (CPP) that complies with Federal regulations set forth at 24 CFR 91.105 and 91.200 (c). The City's CPP establishes a means by which citizens, public agencies, and other interested parties may actively participate in developing the Consolidated Plan, Annual Action Plan, and any substantial amendments.

The preparation process of the Action Plan included several outreach activities that encouraged citizen participation, including the following:

- The City contacted local agencies and organizations to respond to the City's Request for Proposals (RFPs) for CDBG funding. The City conducted a series of interviews with community stakeholders, including social service organizations, community development groups, and housing providers.
- Two public hearing sessions were held. The public hearing session allowed citizens and nonprofit agencies to comment on needs and improvements for the CDBG program and how CDBG funds may be used related to housing, public improvements, and supportive services in developing the Action Plan. The second public hearing session allowed the City to communicate the budget, goals, and strategies related to housing, public improvements, and supportive services for the Action Plan and receive citizens' input.
- In compliance with the City's CPP, the City published public notices and held public hearings during the development phase of the FY 2021 Action Plan. The public hearings were advertised in the *Auburn Villager* and held on February 1, 2021, and March 8, 2021.
- All stakeholders on the City's CDBG contact list received emails and phone calls notifying them of public hearings. The City offered any community stakeholder who did not participate in agency consultations or attended a meeting an opportunity for a phone interview.
- The City published a public notice in the *Auburn Villager* on February 18, 2021, announcing the availability for public inspection of the Draft Fiscal Year (FY) 2021 Annual Action Plan Proposed Budget, notification of public hearings on March 8, 2021, and announcement of the start of a 30-day public comment period. The comment period started March 9, 2021 and ended April 10, 2021.
- An Executive Summary of the Draft Annual Action Plan was available for review on the City's website and at the following locations: Auburn City Hall, Auburn Public Library, and Community Services Department.

Copies of public hearing notes, public hearing minutes are located in the Action Plan attachment, "Citizen Participation Comments."



## Citizen Participation Outreach

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
1	Public Hearing	<p>Minorities</p> <p>Persons with disabilities</p> <p>Non-targeted/broad community</p> <p>Residents of Public and Assisted Housing</p>	<p>ACSD held a virtual public hearing on February 1, 2021, at 10:00 a.m. relating to the Draft 2021 Action Plan. Six (6) persons attended the public hearing.</p>	N/A	No comments were not accepted.	N/A

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
2	Public Hearing	<p>Minorities</p> <p>Persons with disabilities</p> <p>Non-targeted/broad community</p> <p>Residents of Public and Assisted Housing</p>	<p>ACSD held a virtual public hearing on February 1, 2021, at 6:00 p.m. relating to the Draft 2021 Action Plan. Six (6) persons attended the public hearing.</p>	N/A	No comments were not accepted.	N/A
3	Public Hearing	<p>Minorities</p> <p>Persons with disabilities</p> <p>Non-targeted/broad community</p> <p>Residents of Public and Assisted Housing</p>	<p>ACSD held a virtual public hearing on March 8, 2021, at 10:00 a.m. relating to the Draft 2021 Action Plan. Five (5) persons attended the public hearing.</p>	N/A	No comments were not accepted.	

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
4	Public Hearing	<p>Minorities</p> <p>Persons with disabilities</p> <p>Non-targeted/broad community</p> <p>Residents of Public and Assisted Housing</p>	<p>ACSD held a virtual public hearing on March 8, 2021, at 6:00 p.m. relating to the Draft 2021 Action Plan. Four (4) persons attended the public hearing.</p>	N/A	No comments were not accepted.	

**Table 4 – Citizen Participation Outreach**

## Expected Resources

### AP-15 Expected Resources – 91.220(c)(1,2)

#### Introduction

Of the formula Entitlement Programs (CDBG, HOME, ESG, and HOPWA) allocated by the United States Department of Housing and Urban Development (HUD), the City qualifies to receive entitlement funding only under the CDBG program. Therefore, only CDBG funding is available to address the priority needs and specific objectives identified in the Strategic Plan. The City anticipates its CDBG funding to remain level over the five years of the Consolidated Plan period.

Given the Needs Assessment and Market Analysis finding that cost-burdened and severely cost-burdened households represent the greatest need, the City intends to allocate most of its CDBG resources to housing-related issues. Priority will be given to new affordable housing construction and rehabilitation (i.e., repair and maintenance) to help preserve affordable units stock. Homeowner assistance, a down payment assistance program, is designed to encourage and enable LMI renters to become homeowners by making the initial down payment and closing cost affordable.

The City targeted part of the public service items budgeted to the assistance of cost-burdened households. The City will budget activities to assist LMI households with making their mortgage or rent payment or facing imminent threats of having utilities disconnected. Persons facing such emergencies are at risk of becoming homeless and this assistance provides grants to help them get past such emergencies. The City is also funding public service activities to assist youth and the elderly. These activities provide needed services to assist LMI persons who lack financial resources to participate in recreational and nutritional programs otherwise available to the general public. The City feels that these resources are adequate at this time and that there is no major obstacle to addressing these underserved needs. Finally, activities that improve low-income neighborhoods are provided through public improvement and a demolition and clearance activity to remove dilapidated structures that create blight conditions. Demolition and clearance activities will be on a spot basis.

The City also anticipates generating up to \$100,000 in Program Income (PI) during FY 2021 from the Affordable Housing and Housing Rehabilitation Loan Program. The City will reallocate any program income (PI) not reprogrammed and contingency funds to housing programs

(new home construction, housing rehabilitation, and other housing-related activities).

The City amended its Planning Year 2021 Annual Action Plan to reflect the adjusted allocation for PY 2021. The City's original allocation amount of \$676,129 was increased by \$9,963 for Planning Year 2021. The City plans to increase the Housing New Construction project from \$173,089 to \$183,052. The City will receive \$686,092 for Planning Year 2021, which starts on June 1, 2021, and concludes on May 31, 2022.

In addition, approximately \$1,5000,000 in Section 108 Loan funds are available for economic development purposes, including funds not loaned and program income repaid.

This funding is reported here to keep the public informed and to acknowledge the City's ongoing effort to create employment opportunities for LMI persons.

### Anticipated Resources

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
CDBG	public - federal	Acquisition Admin and Planning Economic Development Housing Public Improvements Public Services	686,092	100,000	0	786,092	0	Grantees are not certain of future anticipated funds, but this represents an estimate for planning purposes.

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
Other	public - federal	Economic Development	1,500,000	0	0	1,500,000	0	Section 108 Loan financing for certain community development activities, such as economic development and housing rehabilitation.

Table 5 - Expected Resources – Priority Table

**Explain how federal funds will leverage those additional resources (private, state and local funds), including a description of how matching requirements will be satisfied**

The City will attempt to leverage grants and other funding, when appropriate, to meet the objectives of the Annual Action Plan, utilizing a combination of public and private funds. The only private funding leveraged by the City's CDBG activities is the Homeownership Assistance Program (down payment and closing cost) provided by the City to LMI homebuyers. Under this program, a private mortgage lender provides first mortgage financing and the City provides a second mortgage as a down payment for qualified applicants. Typically, the second mortgage represents 20 percent of the house cost, thereby incentivizing the lender to provide 80 percent of the financing.

Over the past seven years, the City's Economic Development Department has made economic development loans to qualified business borrowers from the proceeds of the Section 108 Loan received from HUD.

**If appropriate, describe publically owned land or property located within the jurisdiction that may be used to address the needs identified in the plan**

In FY 2017, the City used CDBG funds to acquire approximately 2.2 acres of vacant property to construct new affordable single-family homes. This acquisition provides residential lots for seven affordable housing units, beginning in FY 2020 and continuing during this Consolidated Plan period.

**Discussion**

ACSD is responsible for overseeing the City's CDBG program activities. However, the City cannot achieve the goals outlined in the Action Plan without other community partners. These non-profits and local agencies, and organizations help carry out the strategies included in this Action Plan, using other federal, state, local, and private funding sources. The City provides services to LMI families by utilizing numerous sources, including the City's General Fund.

As usual, expected resources fall far short of the City's housing and community development needs identified in the Con Plan. ACSD continues to work with other City departments to identify additional funding sources to address these needs.



## Annual Goals and Objectives

### AP-20 Annual Goals and Objectives

#### Goals Summary Information

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
1	Housing Construction	2020	2024	Affordable Housing	Citywide	Housing	CDBG: \$183,052	Homeowner Housing Added: 2 Household Housing Unit Direct Financial Assistance to Homebuyers: 2 Households Assisted
2	Housing Rehabilitation	2020	2024	Affordable Housing	Citywide	Housing Housing Rehabilitation	CDBG: \$110,209	Homeowner Housing Rehabilitated: 11 Household Housing Unit
3	Public Services	2020	2024	Non-Housing Community Development	Citywide	Public Services	CDBG: \$91,277	Public service activities other than Low/Moderate Income Housing Benefit: 550 Persons Assisted Homeless Person Overnight Shelter: 60 Persons Assisted Homelessness Prevention: 135 Persons Assisted
4	Public Infrastructure	2020	2024	Non-Housing Community Development		Community Development	CDBG: \$107,505	Public Facility or Infrastructure Activities for Low/Moderate Income Housing Benefit: 25 Households Assisted

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
5	Revitalization of Neighborhoods	2020	2024	Non-Housing Community Development		Community Development	CDBG: \$26,369	Buildings Demolished: 1 Buildings
6	Economic Development	2020	2024	Non-Housing Community Development	Citywide	Economic Development	CDBG: \$62,880	Jobs created/retained: 2 Jobs
8	Administration, Planning, and Management	2020	2024	Non-Housing Community Development	Citywide		CDBG: \$104,800	

**Table 6 – Goals Summary**

## Goal Descriptions

1	Goal Name	Housing Construction
	Goal Description	Increase the supply of new affordable, decent, safe, and accessible housing in collaboration with the NAHDC who designs/builds new affordable home opportunities for LMI households. Assist LMI households in becoming homeowners by providing down payment and closing cost assistance and with subsidizing mortgage financing, both requiring housing counseling training.
2	Goal Name	Housing Rehabilitation
	Goal Description	Provide financial assistance to LMI homeowners to rehabilitate their existing owner-occupied housing.

3	<b>Goal Name</b>	Public Services
	<b>Goal Description</b>	Assist public service providers serving LMI persons, particularly those that benefit LMI youth, seniors, families, and persons and families who are homeless or at risk of becoming homeless.
4	<b>Goal Name</b>	Public Infrastructure
	<b>Goal Description</b>	Improve the availability of a suitable living environment by funding public improvements.
5	<b>Goal Name</b>	Revitalization of Neighborhoods
	<b>Goal Description</b>	Remove and eliminate slum and blighting conditions through the demolition of vacant, abandoned, and dilapidated structures. Promote neighborhood revitalization in strategic areas through land acquisition for new housing construction.
6	<b>Goal Name</b>	Economic Development
	<b>Goal Description</b>	Support and encourage new job creation, job retention, employment, and job training for LMI adults and youth. Support the Section 108 Loan Program in the form of interest payments.
8	<b>Goal Name</b>	Administration, Planning, and Management
	<b>Goal Description</b>	Provide program management and oversight for the successful administration of CDBG programs, including planning, environmental clearance, housing, and compliance with Federal, state, and local laws and regulations.

## Projects

### AP-35 Projects – 91.220(d)

#### Introduction

The Annual Action Plan includes projects based on the Consolidated Plan's priorities, available resources, and public involvement in the Annual Action Plan's development. These projects align directly with the federal objectives: 1) decent housing, 2) suitable living environment, or 3) economic development opportunities. During FY 2021, ACSD will lead the City's CDBG activities, which will focus on increasing the availability of new affordable housing units, delivery of public services, investment in public infrastructure, revitalizing neighborhoods, and expanding economic development activities.

ACSD solicited Requests for Proposals from qualified public agencies or private non-profit organizations, demonstrating the capacity to carry out eligible program activities in partnership with the City, as a CDBG sub-recipient.

To address the City's identifiable housing and community development needs, the FY 2021 Annual Action Plan includes the projects listed below.

#### Projects

#	Project Name
1	Housing Construction
2	Housing Rehabilitation
3	Prevention and Housing
4	Youth Supportive Services
5	Senior Supportive Services
6	Family Supportive Services
7	Public Improvement-Street Resurfacing
8	Revitalization of Neighborhoods
9	Section 108 Loan Program
10	Administration, Planning, and Management
11	Program Income

**Table 7 - Project Information**

**Describe the reasons for allocation priorities and any obstacles to addressing underserved needs**

The City established allocations and priorities through consultations with local agencies, City departments, and public hearings.

Obstacles to addressing underserved needs would be identifying more public and private resources to prioritize needs and having local resources to pair with them to ensure successful projects.

**AP-38 Project Summary**  
**Project Summary Information**

<b>1</b>	<b>Project Name</b>	Housing Construction
	<b>Target Area</b>	Citywide
	<b>Goals Supported</b>	Housing Construction
	<b>Needs Addressed</b>	Housing
	<b>Funding</b>	CDBG: \$183,052
	<b>Description</b>	This funding will support the construction of new single-family homes for qualifying LMI families and individuals. Additionally, this project will provide funding to LMI homebuyers to assist with down payment and closing costs.
	<b>Target Date</b>	5/31/2022
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	The proposed activity will assist approximately two (2) households.
	<b>Location Description</b>	The location is a City-owned vacant parcel at the corner of Byrd Street and Tucker Avenue.
	<b>Planned Activities</b>	Planned activities included providing funds to the NAHDC to construct affordable homes and down payment and closing cost assistance to LMI persons purchasing affordable housing units.
<b>2</b>	<b>Project Name</b>	Housing Rehabilitation
	<b>Target Area</b>	Citywide
	<b>Goals Supported</b>	Housing Rehabilitation
	<b>Needs Addressed</b>	Housing Rehabilitation
	<b>Funding</b>	CDBG: \$110,209
	<b>Description</b>	This funding will support repairs to existing single-family homes owned by LMI families and individuals. Subrecipients include Presbyterian Community Ministry, Auburn Rural Ministry, and the City of Auburn.
	<b>Target Date</b>	5/31/2022
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	The proposed activity will assist approximately 11 households.
	<b>Location Description</b>	The location is the addresses of LMI Homeowner identified by PCM, Alabama Rural Ministry, and ACSD for home repairs.



	<b>Planned Activities</b>	Planned activities include repairs to existing single-family residential homes and remediation of lead-based paint hazards, if necessary.
<b>3</b>	<b>Project Name</b>	Prevention and Housing
	<b>Target Area</b>	Citywide
	<b>Goals Supported</b>	Public Services
	<b>Needs Addressed</b>	Public Services
	<b>Funding</b>	CDBG: \$25,277
	<b>Description</b>	This funding is for services that assist families and individuals at-risk of becoming homeless and those needing assistance due to being temporarily displaced. This project will support cost-burdened and severely cost burden LMI citizens and combat the risk of eviction and homelessness by providing emergency assistance grant payments for utility and rent/mortgage to the provider on behalf of the LMI individuals or families at risk of homelessness and those temporarily displaced. Unity Wellness Center of EAMC extends services to those experiencing displacement as it relates to their having HIV-AIDS.
	<b>Target Date</b>	5/31/2022
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	The proposed activities will assist approximately 550 persons in benefiting from public service activities.
	<b>Location Description</b>	Address of Unity Wellness Center of EAMC and ACSD, 400 Boykin Street, Auburn, Alabama.
	<b>Planned Activities</b>	Planned activities include providing utility, mortgage, and rental assistance to qualified LMI persons. Additionally, planned activities include assisting those who are temporarily displaced with emergency housing, support services, and counseling.
<b>4</b>	<b>Project Name</b>	Youth Supportive Services
	<b>Target Area</b>	Citywide
	<b>Goals Supported</b>	Public Services
	<b>Needs Addressed</b>	Public Services
	<b>Funding</b>	CDBG: \$28,000

	<b>Description</b>	This funding will assist LMI youth, including daycare and after-school programs, sports vouchers, and educational supplies. Subrecipients include the Auburn Day Care Center, Inc. (\$7,000), Joyland Child Development Center (\$7,000), Boys and Girls Club of Greater Lee County (\$7,000), Esperanza House (\$5,000), and The City of Auburn (\$2,000).
	<b>Target Date</b>	5/31/2022
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	The proposed activities will assist approximately 250 persons.
	<b>Location Description</b>	Address of subrecipients (Auburn Day Care Center, Inc., Joyland Child Development Center, Boys and Girls Club of Greater Lee County, Esperanza Housing, and ACSD, 400 Boykin Street, Auburn, Alabama.
	<b>Planned Activities</b>	Planned activities include providing funding to subrecipients who offer programs that aid in youth development, child and family services, or other youth opportunities.
5	<b>Project Name</b>	Senior Supportive Services
	<b>Target Area</b>	
	<b>Goals Supported</b>	Public Services
	<b>Needs Addressed</b>	Public Services
	<b>Funding</b>	CDBG: \$10,000
	<b>Description</b>	This funding will help the senior citizen population address their human, health, social, and enrichment needs. Subrecipients include the Food Bank of East Alabama (\$7,000) and the Lee-Russell Council of Governments (\$3,000).
	<b>Target Date</b>	5/31/2022
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	The proposed activities will assist approximately 150 persons.
	<b>Location Description</b>	Address of subrecipients (Food Bank of East Alabama and the Lee-Russell Council of Governments).
	<b>Planned Activities</b>	Planned activities include providing funding to subrecipients who offer programs that specialize in meeting the seniors needs, including brown bag meals, other related services, and programs and activities provided at the Auburn Senior Center.

6	<b>Project Name</b>	Family Supportive Services
	<b>Target Area</b>	Citywide
	<b>Goals Supported</b>	Public Services
	<b>Needs Addressed</b>	Public Services
	<b>Funding</b>	CDBG: \$28,000
	<b>Description</b>	This funding will assist parents and children to enhance skills and resolve problems to promote optimal child development. Subrecipients include the Auburn Community Development Corporation (\$8,000), Auburn Housing Authority (\$8,000), Child Advocacy Center (5,000), and Family Resource Center (\$7,000).
	<b>Target Date</b>	5/31/2022
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	The proposed activities will assist approximately 150 persons.
	<b>Location Description</b>	AHA facilities, FRC, and the Boykin Community Center Food Pantry.
	<b>Planned Activities</b>	Planned activities include providing funding to subrecipients who offer parents opportunities through their education programs, job training, job-searching skills, budgeting, credit repair, and job readiness. Additionally, planned activities include funding to support the food bank/community market and food pantries.
7	<b>Project Name</b>	Public Improvement-Street Resurfacing
	<b>Target Area</b>	Citywide
	<b>Goals Supported</b>	Public Infrastructure
	<b>Needs Addressed</b>	Community Development
	<b>Funding</b>	CDBG: \$107,505
	<b>Description</b>	This funding is for resurfacing Vickerstaff Street.
	<b>Target Date</b>	5/31/2022
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	The proposed activity will assist approximately six (6) persons.
	<b>Location Description</b>	The project location is a neighborhood in Northwest Auburn.
	<b>Planned Activities</b>	The planned activity is a street resurfacing project.

8	<b>Project Name</b>	Revitalization of Neighborhoods
	<b>Target Area</b>	Citywide
	<b>Goals Supported</b>	Revitalization of Neighborhoods
	<b>Needs Addressed</b>	Community Development
	<b>Funding</b>	CDBG: \$26,369
	<b>Description</b>	The funding is for removing dilapidated structures of LMI owners in an LMI Census Tract, which will help to enhance and preserve single-family residential neighborhoods. Additionally, this project funds the acquisition of a residential lot for a new affordable housing unit.
	<b>Target Date</b>	5/31/2022
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	The proposed activity will assist approximately one (1) home with removing a dilapidated structure and two (2) households in the acquisition of a vacant residential lot.
	<b>Location Description</b>	Address of dilapidated structure demolished and cleared.
	<b>Planned Activities</b>	Planned activities include eliminating slums and blight on a spot basis and identifying and acquiring vacant lots for use as affordable housing sites.
9	<b>Project Name</b>	Section 108 Loan Program
	<b>Target Area</b>	
	<b>Goals Supported</b>	Economic Development
	<b>Needs Addressed</b>	Economic Development
	<b>Funding</b>	CDBG: \$62,880
	<b>Description</b>	This funding will support the Section 108 Loan Program in the form of interest payments.
	<b>Target Date</b>	5/31/2022
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	This economic development-related activity will result in the creation or retention of two (2) jobs.
	<b>Location Description</b>	This administrative activity will mainly take place at ACSD, 400 Boykin Street, Auburn, Alabama.
	<b>Planned Activities</b>	The planned activity includes interest payments for the Section 108 Program.

10	<b>Project Name</b>	Administration, Planning, and Management
	<b>Target Area</b>	Citywide
	<b>Goals Supported</b>	Administration, Planning, and Management
	<b>Needs Addressed</b>	Administration, Planning, and Management
	<b>Funding</b>	CDBG: \$104,800
	<b>Description</b>	This funding is for planning, administration, and oversight activities associated with and supporting the CDBG Program.
	<b>Target Date</b>	5/31/2022
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	This is an administrative activity.
	<b>Location Description</b>	This administrative activity will mainly take place at ACSD, 400 Boykin Street, Auburn, Alabama.
	<b>Planned Activities</b>	CDBG administration, planning, and management
11	<b>Project Name</b>	Program Income
	<b>Target Area</b>	
	<b>Goals Supported</b>	Housing Construction Housing Rehabilitation
	<b>Needs Addressed</b>	
	<b>Funding</b>	CDBG: \$100,000
	<b>Description</b>	The City's CDBG funding allocations are listed in the Annual Action Plan, administered by the City's Community Services Department (ACSD). The entitlement amount is supplemented by projected program income and with reprogrammed prior year funds. If CDBG programs receive an increase in unanticipated Program Income (PI) during the year, the following program activity will utilize the additional funds: New Housing Purchase, Construction, and Delivery.
	<b>Target Date</b>	5/31/2022
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	This funding will support the construction of new single-family homes and housing rehabilitation for qualifying LMI families and individuals. Additionally, this project will provide funding to LMI homebuyers to assist with down payment and closing costs. The proposed activity will assist approximately two to four (4) households.

	<b>Location Description</b>	
	<b>Planned Activities</b>	The City also anticipates generating up to \$100,000 in Program Income (PI) during FY 2021 from the Affordable Housing and Housing Rehabilitation Loan Program. The City expects this funding to remain level over the five years of the Consolidated Plan period. The City will reallocate any program income (PI) not reprogrammed and contingency funds to housing programs (new home construction, housing rehabilitation, and other housing-related activities).

## **AP-50 Geographic Distribution – 91.220(f)**

### **Description of the geographic areas of the entitlement (including areas of low-income and minority concentration) where assistance will be directed**

The proposed projects for FY 2021 are located in LMI Census Block Groups for Auburn, Alabama. Based on the information contained in the five-year Consolidated Plan Needs Analysis, and the resources available to meet those needs, the City does not choose to allocate its resources to a specific target area.

Also, ACSD's move to the newly renovated Boykin Community Center has had an immediate impact. The Center is located in and serves the portion of Census Tract, Block Group 406.02, 2 identified as the Northwest Auburn neighborhood. Many of the anticipated subrecipients of FY 2021 CDBG funds, which provide services to LMI residents throughout the City, are located at the Boykin Community Center. ACSD is also more accessible to those who benefit the most from the City's CDBG entitlement funding. While the City's CDBG dollars benefit families and individuals City-wide, according to income and household size, it is also reasonable to consider most beneficiaries in Auburn reside in the Census Tract 406.02, 2 or an adjacent Census Tract or Block Group.

### **Geographic Distribution**

<b>Target Area</b>	<b>Percentage of Funds</b>
Citywide	100

**Table 8 - Geographic Distribution**

### **Rationale for the priorities for allocating investments geographically**

The City does not choose to allocate its CDBG resources to a specific targeted geographic area. Not selecting a specific target area allows the City to invest CDBG funds in areas throughout the City to assist LMI households and individuals wherever they reside.

### **Discussion**

The City will continue citywide community development efforts related to priority needs, including housing, public services, and public improvements. CDBG projects and activities are offered and available to all LMI citizens of Auburn.

## Affordable Housing

### AP-55 Affordable Housing – 91.220(g)

#### Introduction

There is a lack of decent, affordable housing choices in Auburn. Therefore, Affordable Housing is the highest priority need for the City. In FY 2017, the City made a property acquisition of a vacant parcel. In FY 2021, the NAHDC plans to complete two of seven new single-family housing units on this parcel.

The following tables estimate the annual goals for affordable housing for FY 2021:

One Year Goals for the Number of Households to be Supported	
Homeless	0
Non-Homeless	13
Special-Needs	0
Total	13

**Table 9 - One Year Goals for Affordable Housing by Support Requirement**

One Year Goals for the Number of Households Supported Through	
Rental Assistance	0
The Production of New Units	2
Rehab of Existing Units	11
Acquisition of Existing Units	0
Total	13

**Table 10 - One Year Goals for Affordable Housing by Support Type**

#### Discussion

Affordable housing remains a need in the City and ACSD will continue to identify programs and resources to address this issue.



## **AP-60 Public Housing – 91.220(h)**

### **Introduction**

The Auburn Housing Authority is the public housing authority that operates within the City. Public housing is probably the most crucial housing source for low- and extremely low-income families and individuals and represents a significant housing asset to the City. The City supports the AHA board and staff and works closely with them to address public housing residents and facilities' needs.

The AHA manages 304 affordable housing units in Auburn and it is authorized to administer 280 HCV units and 18 Veterans Affairs Supportive Housing vouchers (VASH). The AHA anticipates developing the following strategy to expand affordable housing options for low-income families in Auburn:

- Utilize Tenant-Based Vouchers (TBV) for homeownership opportunities
- Choice Mobility for eligible Project-based vouchers (PBV) participants
- Continue the Family Self Sufficiency Program (FSS)
- Partner with the City related to its efforts in expanding affordable housing
- Other housing initiatives to expand affordable housing opportunities for families in need

### **Actions planned during the next year to address the needs to public housing**

The City supports housing development for households earning 30 percent or less of the Median Family income in Auburn. The City's objective is to encourage and help facilitate housing construction for extremely low-income individuals and families by collaborating with community partners such as Auburn Opelika Habitat for Humanity.

Additionally, as the need to rehabilitate existing housing stock grows, so does the demand for affordable housing, with 197 families on the AHA TBV/Section 8 waiting list, as of February 2021.

Also, the City is continuing to invest in upgrades to the Boykin Community Center, which houses a variety of services that benefit public housing residents. The Action Plan also includes funding for public service programs that directly benefit public housing residents, including a new food pantry Boykin Community Center.

### **Actions to encourage public housing residents to become more involved in management and participate in homeownership**

The AHA employs strategies to promote public housing residents' involvement in the AHA policy development and the strategic decision-making process. AHA encourages residents to become involved through participation in the Resident Advisory Board, which reviews AHA's proposed Action Plan and meets regularly with AHA staff to receive updates on major issues at AHA. The Resident Advisory Board and AHA staff also discuss residents' matters relating to participation in governance, public housing

programs, etc.

AHA staff works to promote the FSS Program to public housing residents. The Program provides participants with job training opportunities, employment counseling, case management services, household skill training, and homeowner counseling.

Also, the AHA and the City are working together to prepare public housing residents to become homeowners by becoming creditworthy, establishing a good credit history, and creating personal savings.

**If the PHA is designated as troubled, describe the manner in which financial assistance will be provided or other assistance**

The AHA is a high-performing PHA and does not have a troubled designation. Therefore, the City does not need to provide financial assistance or provide other assistance to remove such designation.

**Discussion**

The AHA is a well-managed public entity, scoring an average of 95% on its housing condition evaluation. The AHA provides support that assists its residents to access the following services:

- Educational Assistance/GED Classes (Pre/Post-Secondary Education)
- Childcare
- Transportation
- Job Readiness Skills (How to correctly fill out job applications, Attire, Personal Hygiene, Interview, & Resume Writing)
- Job Linkage & Placement
- Job Skills Training
- Communication Skills/Interpersonal Interactions/Behavior Management
- Household/Time Management Skills
- Substance Abuse
- Counseling/Self-Esteem Building
- Budget Training/Management
- Home Ownership Information
- Legal Assistance
- Entrepreneurial Training/Information
- Financial/Debt Management

## **AP-65 Homeless and Other Special Needs Activities – 91.220(i)**

### **Introduction**

The City works closely with ARCH and with other community-based non-profits and organizations that work to eliminate homelessness, including, The Salvation Army Lee County and One Voice Shelter Coalition, Inc., which was established to secure temporary dwelling for homeless women and children in Lee County, Alabama.

The Consolidated Plan identifies emergency assistance as a high priority for LMI citizens of Auburn. The City will continue to fund emergency assistance for households who have lost their homes due to fire, utility disconnections, family separations, or other emergencies. In those instances where families or individuals find themselves suddenly evicted or dislodged, the City will provide emergency assistance funds to pay hotel bills for short stays to enable individuals or families to arrange for shelter that is more permanent. Approximately 35-50 persons receive emergency assistance annually.

The Consolidated Plan references the work of other community partners who address the needs of residents experiencing or at risk of homelessness. These include the Unity Wellness Center of Alabama. The Unity Wellness Center provides HIV testing and counseling, HIV primary medical care, medical case management services, and HIV prevention education and awareness programs for HIV/AIDs patients. The FRC promotes strong families through the provision of support services and education for childcare providers, parents, and employers.

### **Describe the jurisdictions one-year goals and actions for reducing and ending homelessness including**

#### **Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs**

Volunteers seeking out unsheltered homeless individuals conduct the Point in Time count each year. This analysis indicated that there is no existence of a chronic homelessness problem in Auburn. Rather homelessness is most often the result of sudden dislocation caused by loss of a job, family violence, illness, fire, or natural disasters. Therefore, the City's actions for the period covered by this Consolidated Plan are those discussed in the above introduction.

The City will continue to cooperate in the annual PIT count to locate and identify homeless persons found in its jurisdiction. Likewise, the City will continue collaborating with other service agencies to support programs and strategies that address the priority needs of homeless persons and families. The City will financially support programs and services for the homeless through its CDBG Public Service funds. Should such persons be located, they will be referred to appropriate agencies and services.

#### **Addressing the emergency shelter and transitional housing needs of homeless persons**

The City is an active member of the ARCH. The City will serve victims of homelessness via temporary hotel vouchers. Earlier sections point to the City's involvement as an area resource.

**Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again**

The Consolidated Plan recognizes homelessness in Auburn as a low priority. The City will support, to the extent CDBG regulations and funding allow, agencies that deliver homelessness prevention assistance for displaced citizens and assistance to those persons at risk of becoming homeless. This assistance also includes supportive services.

**Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); or, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs.**

Other public and private social service agencies provide needed services to individuals released from institutional facilities and homes. ACSD will continue to explore opportunities to coordinate with such agencies to assist those extremely low-income individuals and families and those who are being discharged from publicly funded institutions and systems of care.

## **Discussion**

Consultation with homeless shelter providers in Lee County and examination of the PIT count of homeless persons has revealed that homelessness is not prevalent in Auburn in the same terms as other communities in the State. Homelessness is most often the result of emergencies temporarily rendering households displaced. The City does offer a short-term hotel stay to provide shelter to persons in these situations.

## **AP-75 Barriers to affordable housing – 91.220(j)**

### **Introduction:**

The City has looked at its governmental policies to determine if such policies are harming the production of affordable housing. This section addresses those findings.

**Actions it planned to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment**

ACSD will work closely with the following entities involved in housing to ensure constructive practices are considered and/or in place for prospective LMI homeowners:

- The City's Economic Development, Inspection Services, Planning, and Engineering departments.
- Housing agencies
- Lenders
- Insurance providers
- County Appraiser

### **Discussion:**

Section MA-40 – “Barriers to Affordable Housing” of the Consolidated Plan provides an extensive discussion of the negative effects of the cost of housing in the City. The high housing demand has resulted in higher housing costs, which negatively affects the ability of LMI households to obtain safe and decent housing at an affordable cost.

The City plans no modifications or amendments to its policies at this time.

## **AP-85 Other Actions – 91.220(k)**

### **Introduction:**

In the implementation of the Action Plan, the City will invest CDBG resources to achieve the following:

- Address obstacles to meeting underserved needs
- Foster and maintain affordable housing
- Reduce lead-based paint hazards as needed
- Reduce the number of poverty-level families
- Develop institutional structures
- Enhance coordination among service providers

In addition, the City will identify obstacles to meeting underserved needs and propose actions to overcome those obstacles.

### **Actions planned to address obstacles to meeting underserved needs**

The Consolidated Plan describes eligible programs, projects, and activities to be undertaken over the five years with anticipated entitlement funds. Each year, assuming funding remains the same; citizens, non-profits, organizations, and private entities help to identify specific projects as part of the Action Plan process that meets underserved needs.

Obstacles to meeting underserved needs generally fall into the category of available resources and affordable housing options. In FY 2021, the City will address these obstacles as follows:

#### Available resources

- The City will support applications submitted by various non-profit agencies to local, state, or federal agencies for funding to address obstacles to meeting underserved needs.
- The City will research and pursue other grant opportunities to meet the underserved needs.
- The City will look for opportunities to leverage entitlement funding.

#### Affordable housing options

- The City will work with the NAHDC to use CDBG entitlement funds to complete design and site work for new home construction slated for construction in PY 2020.
- The City will work with the AHA on its comprehensive housing strategy and resident development plan.
- The City will seek partnerships with other private sector providers, including financial institutions, to support the construction of affordable housing units.

### **Actions planned to foster and maintain affordable housing**

The City will continue its efforts to develop and support more affordable housing opportunities for LMI families. By coordinating with other non-profits, such as the NAHDC, the City will create new affordable housing opportunities in Auburn.

Also, the City will continue the preservation of the existing housing stock by providing funds to non-profit housing organizations to rehabilitate deteriorated housing units. During FY 2021, the City will provide funding to the PCM and Alabama Rural Ministry to perform housing rehabilitation projects.

### **Actions planned to reduce lead-based paint hazards**

Houses accepted for assistance under the housing repair and maintenance program that was built before 1978 will be tested for LBP. Should LBP be detected, the house will be treated or abated per ADPH rules and federal guidelines.

### **Actions planned to reduce the number of poverty-level families**

The goals outlined in the Consolidated Plan and Action Plan represent the City's programs and activities for addressing the housing and economic needs of the community, particularly the number of poverty-level families.

The City provides various services intended to help reduce the number of persons in poverty. The City's strategies and actions planned for FY 2021 to help families achieve economic and financial stability are as follows:

- Increase income through the work of the City's Economic Development Department to expand job opportunities through the recruitment of new and expanding industries, assistance to existing industries and businesses, encouragement of entrepreneurial start-ups, and an innovative approach to job training and education.
- Work with the AHA, the faith-based community, and other local organizations to increase financial education, homebuyer counseling, and general financial literacy.
- Provide housing rehabilitation assistance to homeowners who do not have savings to make needed repairs.

### **Actions planned to develop institutional structure**

The current institutional structure ensures all CDBG functions are performed in a coordinated fashion and in a manner that ensures the efficient use of resources with maximum accomplishments. The City's position is that the existing institutional structure is adequate for a small CDBG program. There are no specific plans to develop additional institutional structures.

## **Actions planned to enhance coordination between public and private housing and social service agencies**

As the lead agency in the Action Plan development process, the City recognizes the importance of coordinating its planning efforts with other public and private service agencies to accomplish programs needed to provide decent housing, a suitable living environment, and expand economic opportunities, particularly for LMI persons.

The City will continue to enhance coordination efforts as follows:

- Meet regularly with non-profits and other community agencies to seek their input.
- Work closely with private developers on creating affordable housing options.
- Work with training and employment organizations.
- Work with housing and service providers to implement strategies to prevent chronic homelessness and the needs of the underserved.
- Work with local businesses to provide training services for LMI persons.

### **Discussion:**

CDBG plans to fund a variety of programs and activities to support area LMI households with affordable housing, emergency assistance, and homelessness prevention, special needs activities, and community improvements. Throughout the Action Plan, these areas have been addressed relative to the level of needs, priorities, goals, and outcomes.

Perhaps the most important actions taken by the City have been its commitment to Economic Development and the establishment of the Auburn Training Connection (ATC) to educate and train the City's workforce. The provision of a well-paying job is ultimately the most important action that any jurisdiction can take to address the issues related to poverty.



## Program Specific Requirements

### AP-90 Program Specific Requirements – 91.220(I)(1,2,4)

#### Introduction:

The City has budgeted a CDBG program to address housing needs in the form of new housing construction, rehabilitation (maintenance and repair), homebuyer assistance (down payment and closing cost), and administration of housing programs. Also budgeted are public services in the form of assistance to persons at risk of becoming homeless (mortgage, rent, and utility assistance), services for youth and the elderly, and public improvements.

#### Community Development Block Grant Program (CDBG)

##### Reference 24 CFR 91.220(I)(1)

Projects planned with all CDBG funds expected to be available during the year are identified in the Projects Table. The following identifies program income that is available for use that is included in projects to be carried out.

1. The total amount of program income that will have been received before the start of the next program year and that has not yet been reprogrammed	100,000
2. The amount of proceeds from section 108 loan guarantees that will be used during the year to address the priority needs and specific objectives identified in the grantee's strategic plan.	0
3. The amount of surplus funds from urban renewal settlements	0
4. The amount of any grant funds returned to the line of credit for which the planned use has not been included in a prior statement or plan	0
5. The amount of income from float-funded activities	0
<b>Total Program Income:</b>	<b>100,000</b>

#### Other CDBG Requirements

1. The amount of urgent need activities	0
2. The estimated percentage of CDBG funds that will be used for activities that benefit persons of low and moderate income. Overall Benefit - A consecutive period of one, two or three years may be used to determine that a minimum overall benefit of 70% of CDBG funds is used to benefit persons of low and moderate income. Specify the years covered that include this Annual Action Plan.	100.00%

Auburn has never qualified an urgent need activity.

## Attachments

## **Citizen Participation Comments**

**City of Auburn  
Public Hearing  
February 1, 2021  
10:00 A.M.**

**SUBJECT:** Fiscal Year 2021 Annual Action Plan funding allocations. At the hearing, the City of Auburn Community Services Department presented the Fiscal Year 2021 Annual Action Plan funding applications.

## **MINUTES**

**City of Auburn  
Community Development Block Grant Program  
Public Hearing Minutes  
February 1, 2021 @ 10:00 a.m.  
FY2021 Action Plan  
Boykin Community Center (Virtual)  
400 Boykin Street**

The City of Auburn held a virtual public hearing related to the 2021 Annual Action Plan funding applications.

Ashley Simpson, Community Services Manager, called the CDBG public hearing to order at 10:05 a.m.

The City of Auburn staff was present. Tammy Morgan (Childcare Resource Center), Tanisha King (Boys and Girls Club).

Ashley Simpson gave a presentation overview of the CDBG program and the funding application process. Ashley Simpson gave an overview of the HUD national objectives and explained that the City of Auburn is a HUD Entitlement community that receives an annual grant from HUD. Ashley Simpson also explained the overall goals of the CDBG program.

Ashley Simpson explained the Community Development Block Grant planning process. Ashley Simpson explained that grantees that receive annual grants from HUD are required to solicit proposals from citizens, non-profits, and City Departments to identify needs that benefit the City's low- and moderate-income population. She also explained that CDBG is required to inform the public about the community's needs and available resources. Ashley Simpson also explained that the funding application process is an opportunity for the community to bring forward ideas on how to address community needs.

Ashley Simpson explained the list of eligible activities that could qualify for CDBG funding.

Ashley Simpson explained that funding could be used to support the construction of new single-family homes for qualifying LMI families and individuals. Ms. Simpson also explained the CDBG funding could also be used to support repairs to existing single-family homes owned by LMI families and individuals.

Ashley Simpson explained that funding could be used to support families and individuals at-risk of becoming homeless and those needing assistance due to being temporarily displaced. This project will support cost-burden and severely cost-burden LMI citizens and combat the risk of eviction and homelessness by providing emergency assistance grant payments for utility and rent/mortgage to the provider on behalf of the LMI individual or family at risk of homelessness and those temporarily displaced.

Ashley Simpson explained that funding could be used to support LMI youth, including daycare and after-school programs, sports vouchers, and educational supplies. Planned activities include providing funding to subrecipients who offer programs that aid in youth development, child and family services, or other opportunities for youth. She also explained that funding can be used to support the senior citizen population that addresses their human, health, social, and enrichment needs.

Ashley Simpson explained that funding could be used to support programs that offer opportunities for parents through their programs for education, job training, job-searching skills, budgeting, credit repair, and job readiness.

Ashley Simpson explained that funding could be used to support public infrastructure, revitalization of neighborhoods, and the section 108 loan program. Ms. Simpson also explained that funds will be used for the administration of the CDBG program.

Ashley Simpson explained the timeline and encouraged agencies to ensure that we receive their funding applications no later than 5:00 on February 4, 2021.

Ashley Simpson explained ways to comment on the funding application process. Ashley Simpson explained that there is a comment form that is available if anyone would like to submit written comments related to the funding application process.

#### **Questions and Comments**

Ashley Simpson opened the public hearing questions and comments period. (no comments or questions received)

Ashley Simpson thanked the public attending the public hearing.

Ashley Simpson. adjourned the public hearing at 10:17 a.m.

**City of Auburn  
Public Hearing  
February 1, 2021  
6:00 P.M.**

**SUBJECT:** Fiscal Year 2021 Annual Action Plan funding applications. At the hearing, the City of Auburn Community Services Department presented the Fiscal Year 2021 Annual Action Plan funding applications.

## **MINUTES**



**City of Auburn  
Community Development Block Grant Program  
Public Hearing Minutes  
February 1, 2021 @ 6:00 p.m.  
FY2021 Action Plan  
Boykin Community Center (Virtual)  
400 Boykin Street**

The City of Auburn held a virtual public hearing related to the 2021 Annual Action Plan funding applications.

Ashley Simpson, Community Services Manager, called the CDBG public hearing to order at 6:00 p.m.

The City of Auburn staff was present. Nell Finley and Kim McManus (Childcare Resource Center).

Ashley Simpson gave a presentation overview of the CDBG program and the funding application process. Ashley Simpson gave an overview of the HUD national objectives and explained that the City of Auburn is a HUD Entitlement community that receives an annual grant from HUD. Ashley Simpson also explained the overall goals of the CDBG program.

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Ashley Simpson explained ways to comment on the funding application process. Ashley Simpson explained that there is a comment form that is available if anyone would like to submit written comments related to the funding application process.

#### **Questions and Comments**

Ashley Simpson opened the public hearing for questions and comments period.

Nell Finley (Childcare Resource Center) asked if funded, could CCRC use some of their allocations for homeless assistance.

Alfred Davis explained to Ms. Finley that she could refer any person needing homeless assistance could be referred to our office for assistance.

Ashley Simpson thanked the public attending the public hearing.

Ashley Simpson, adjourned the public hearing at 6:22 p.m.

**City of Auburn  
Public Hearing  
March 8, 2021  
10:00 A.M.**

**SUBJECT:** Fiscal Year 2021 Annual Action Plan funding allocations. At the hearing, the City of Auburn Community Services Department presented the Fiscal 2021 Annual Action Plan, outlining the proposed use of \$676,129 in CDBG funds.

## **MINUTES**

**City of Auburn**  
**Community Development Block Grant Program**  
**Public Hearing Minutes**  
**March 8, 2021 @ 10:00 a.m.**  
**FY2021 Action Plan**  
**Boykin Community Center (Virtual)**  
**400 Boykin Street**

The City of Auburn held a virtual public hearing related to the 2021 Annual Action Plan funding recommendations.

Mr. Alfred Davis welcomed everyone and thanked everyone for joining the public hearing.

Mr. Davis called the CDBG public hearing to order at 10:00 a.m.

The City of Auburn staff was present. Ben Stanfield (WTVM 9 News), and Abby Driggers (OA News).

Ashley Simpson gave a presentation overview of the CDBG program. Ms. Simpson also talked about CDBG goals and national objectives. Ms. Simpson informed the citizens how CDBG utilizes its funds by providing assistance to Low-to Moderate residents and how it was essential for us to hear what the needs are in our community. Ms. Simpson also explained to the citizen how various CDBG programs work and how citizens qualify. Ms. Simpson explained to the citizens that the City receives an annual allocation from HUD to implement programs that will benefit the City's low- and moderate-income (LMI) population. She stated that there are three goals of the CDBG program: (1) to develop viable urban communities by providing decent housing, (2) to provide a suitable living environment, and (3) to expand economic opportunities, principally for LMI persons.

Ms. Simpson explained that the authorizing statute of the CDBG program requires that each activity funded, except for program administration and planning activities, must meet one of three national objectives. The three national objectives are (1) Benefit to low- and moderate-income persons; (2) Aid in the prevention or elimination of slums or blight; and (3) Meet a need having a particular urgency (referred to as urgent need).

Ms. Simpson explained to the citizens the importance of receiving citizen input during the development of the action plan to identify the needs of the community. Ms. Simpson also gave a general overview of the Draft 2021 Annual Action Plan. Ms. Simpson also gave an overview of the 2021 Annual Action Plan activities and funding recommendations for each planned activity.

Ashley Simpson explained that a draft copy of the FY2021 Annual Action Plan is available for review, and the 30-day comment period will start on March 9<sup>th</sup> and end on April 21<sup>st</sup>. Ms. Simpson also explained that the funding recommendation will be sent to City Council for approval on April 20, 2021, and submitted to HUD on April 21, 2021.

Ashley Simpson explained ways to comment on the funding application process. Ashley Simpson explained that there is a comment form that is available if anyone would like to submit written comments related to the funding application process.

#### **Questions and Comments**

Ashley Simpson opened the public hearing for questions and comments period. (There were no questions or comment for this public hearing)

Ms. Simpson and Mr. Davis thanked the public for attending the public hearing.

Mr. Davis, adjourned the public hearing at 10:22 a.m.

**City of Auburn  
Public Hearing  
March 8, 2021  
6:00 P.M.**

**SUBJECT:** Fiscal Year 2021 Annual Action Plan funding allocations. At the hearing, the City of Auburn Community Services Department presented the Fiscal Year 2021 Annual Action Plan, outlining the proposed use of \$676,129 in CDBG funds.

## **MINUTES**

**City of Auburn**  
**Community Development Block Grant Program**  
**Public Hearing Minutes**  
**March 8, 2021 @ 6:00 p.m.**  
**FY2021 Action Plan**  
**Boykin Community Center (Virtual)**  
**400 Boykin Street**

The City of Auburn held a virtual public hearing related to the 2021 Annual Action Plan funding recommendations.

Mr. Alfred Davis welcomed everyone and thanked everyone for joining the public hearing.

Mr. Davis called the CDBG public hearing to order at 6:00 p.m.

The City of Auburn staff was present. Kathy Molnar (Citizen).

Ashley Simpson gave a presentation overview of the CDBG program. Ms. Simpson also talked about CDBG goals and national objectives. Ms. Simpson informed the citizens how CDBG utilizes its funds by providing assistance to Low-to-Moderate residents and how it was essential for us to hear what the needs are in our community. Ms. Simpson also explained to the citizen how various CDBG programs work and how citizens qualify. Ms. Simpson explained to the citizens that the City receives an annual allocation from HUD to implement programs that will benefit the City's low- and moderate-income (LMI) population. She stated that there are three goals of the CDBG program: (1) to develop viable urban communities by providing decent housing, (2) to provide a suitable living environment, and (3) to expand economic opportunities, principally for LMI persons.

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Ashley Simpson explained ways to comment on the funding application process. Ashley Simpson explained that there is a comment form that is available if anyone would like to submit written comments related to the funding application process.

#### **Questions and Comments**

Ashley Simpson opened the public hearing for questions and comments period. (There were no questions however one (1) comment was made for this public hearing).

Scott Cummings, Executive Director of Development Services thanked the Community Services staff for their hard work and dedication to serving the citizens of Auburn.

Ms. Simpson and Mr. Davis thanked the public for attending the public hearing.

Mr. Davis. adjourned the public hearing at 6:17 p.m.



## **Public Hearing Comment Form**

City of Auburn 2021 Annual Action Planning Process Public Hearing Comment  
Form



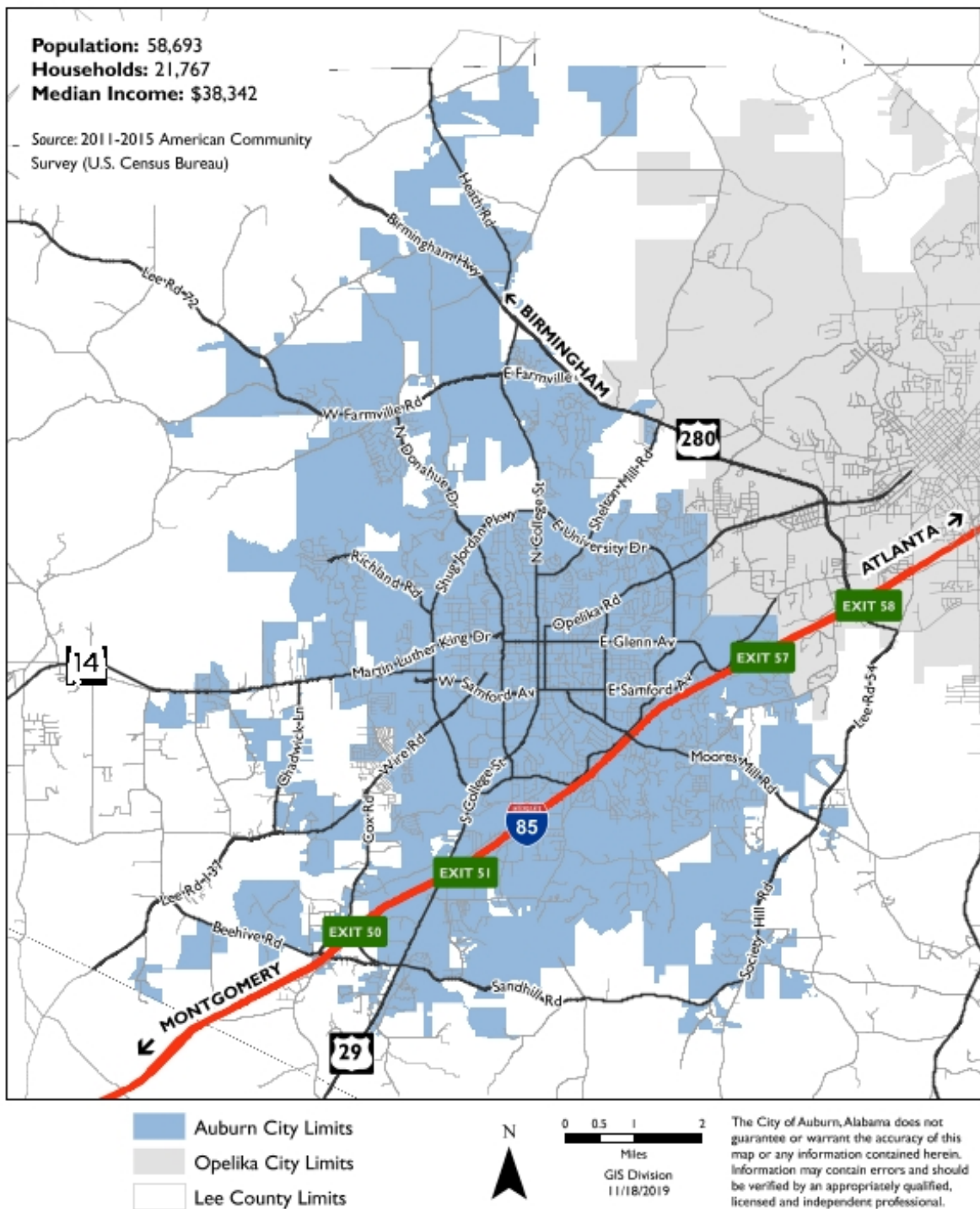


## **Grantee Unique Appendices**

### **Map-City of Auburn**

# Auburn, AL

## City Overview

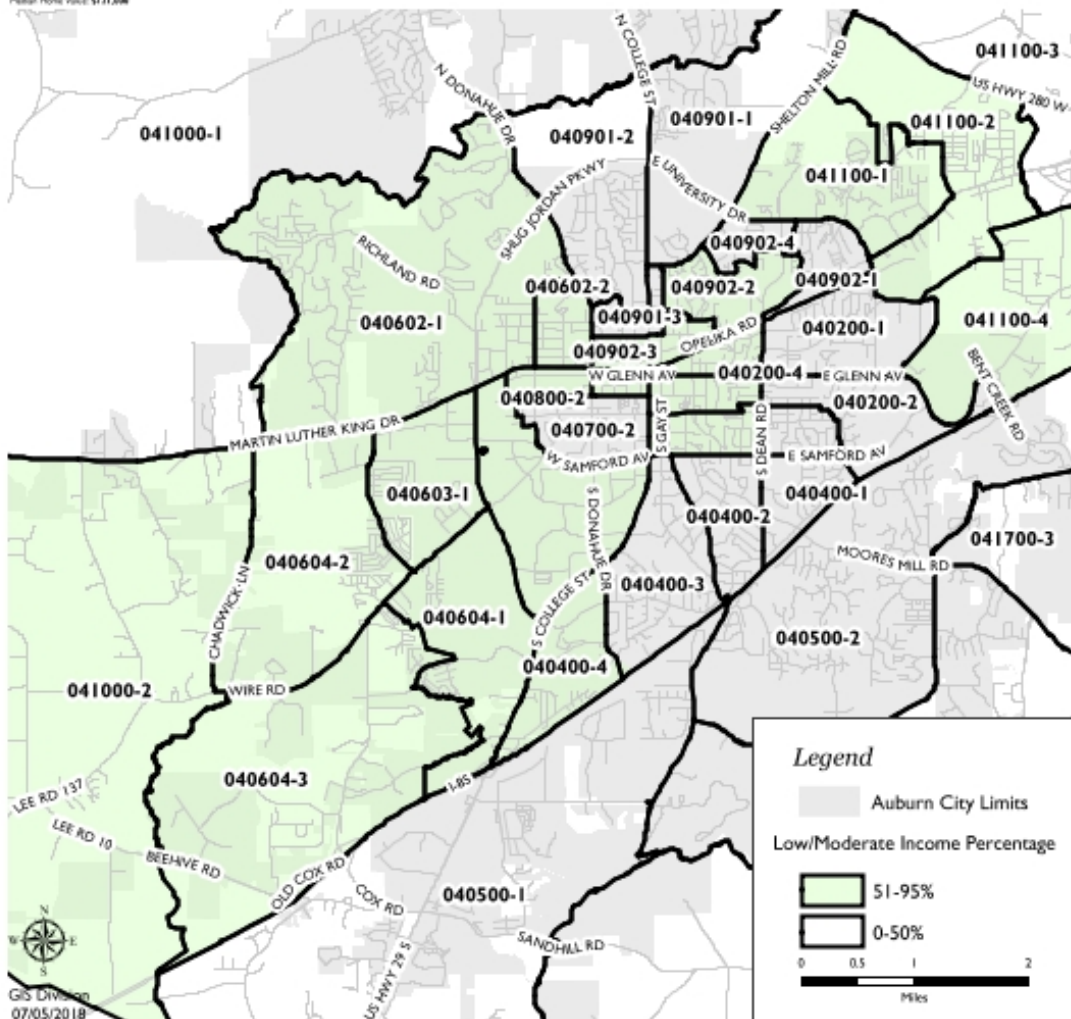


Low/Moderate Income Census Block  
Groups-Auburn, Alabama

## Census Block Groups - Auburn, AL

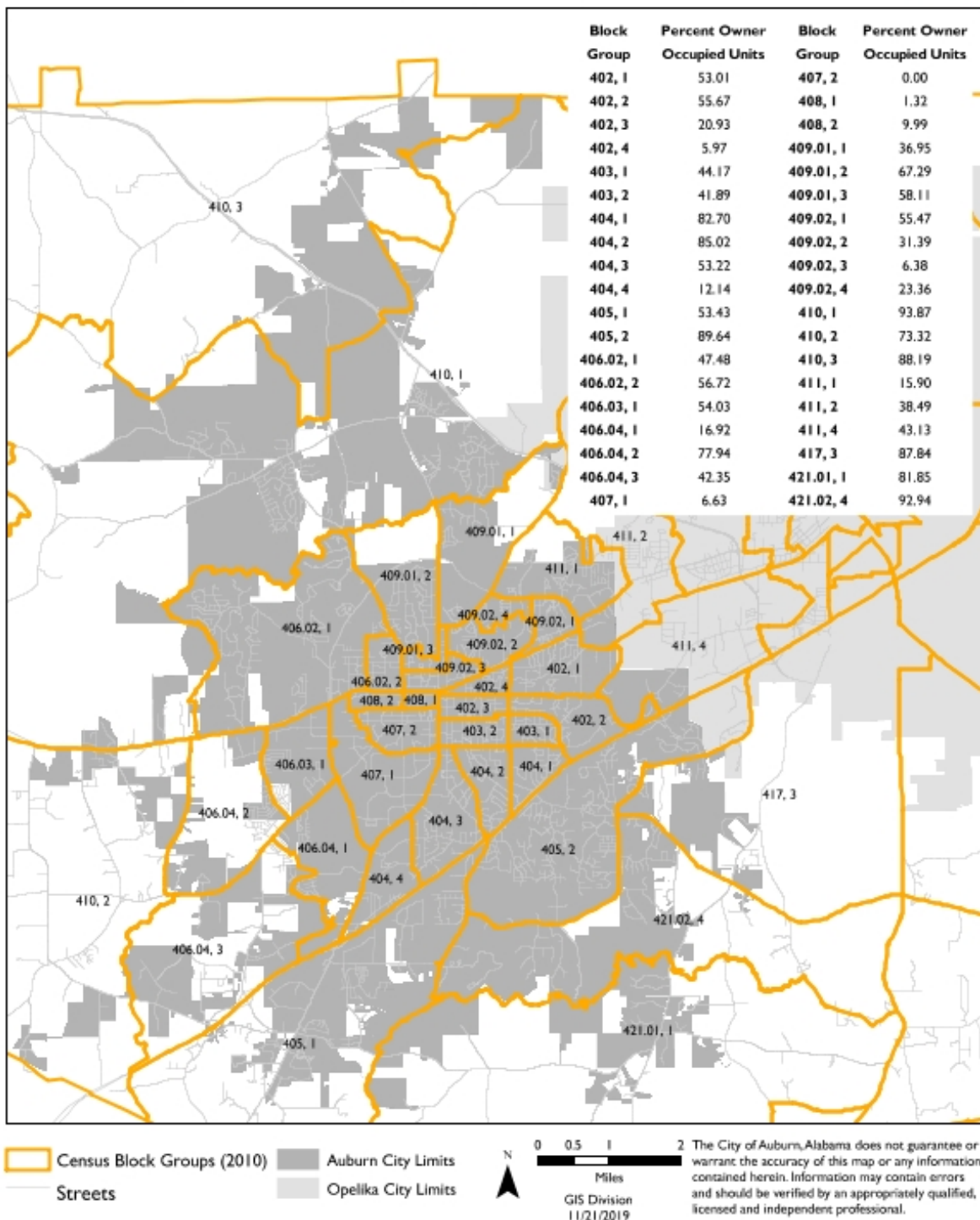


<b>040800-1</b> Total Population: 921 Race - White/Black: 77%/23% # Households: 427 Median Home Value: \$234,398	<b>040800-2</b> Total Population: 1,408 Race - White/Black: 72%/28% # Households: 698 Median Home Value: \$227,900	<b>040800-3</b> Total Population: 1,412 Race - White/Black: 79%/21% # Households: 761 Median Home Value: \$218,980	<b>040800-4</b> Total Population: 1,275 Race - White/Black: 47%/53% # Households: 1,431 Median Home Value: \$95,180	<b>040800-5</b> Total Population: 1,172 Race - White/Black: 48%/52% # Households: 1,873 Median Home Value: \$186,808	<b>040800-6</b> Total Population: 801 Race - White/Black: 88%/12% # Households: 185 Median Home Value: \$168,180
<b>040801-1</b> Total Population: 722 Race - White/Black: 87%/13% # Households: 356 Median Home Value: \$15,569	<b>040801-2</b> Total Population: 2,708 Race - White/Black: 88%/12% # Households: 1,137 Median Home Value: \$15,190	<b>040801-3</b> Total Population: 1,876 Race - White/Black: 100%/0% # Households: 531 Median Home Value: \$16,708	<b>040801-4</b> Total Population: 1,228 Race - White/Black: 92%/8% # Households: 479 Median Home Value: N/A	<b>040801-5</b> Total Population: 2,249 Race - White/Black: 82%/18% # Households: 1,231 Median Home Value: \$113,509	<b>040801-6</b> Total Population: 3,353 Race - White/Black: 38%/62% # Households: 1,287 Median Home Value: \$168,308
<b>040802-1</b> Total Population: 1,979 Race - White/Black: 96%/4% # Households: 981 Median Home Value: \$146,708	<b>040802-2</b> Total Population: 1,491 Race - White/Black: 43%/57% # Households: 1,138 Median Home Value: \$113,509	<b>040802-3</b> Total Population: 1,562 Race - White/Black: 72%/28% # Households: 886 Median Home Value: \$94,708	<b>040802-4</b> Total Population: 1,498 Race - White/Black: 18%/82% # Households: 1,812 Median Home Value: \$68,480	<b>040802-5</b> Total Population: 3,353 Race - White/Black: 38%/62% # Households: 1,287 Median Home Value: \$168,308	<b>040802-6</b> Total Population: 3,169 Race - White/Black: 33%/67% # Households: 1,269 Median Home Value: \$342,180
<b>041100-1</b> Total Population: 1,904 Race - White/Black: 48%/52% # Households: 876 Median Home Value: \$131,088					



## Percent of Owner Occupied Units

Census Block Groups, American Community Survey 2011-2015 5-Year Estimates

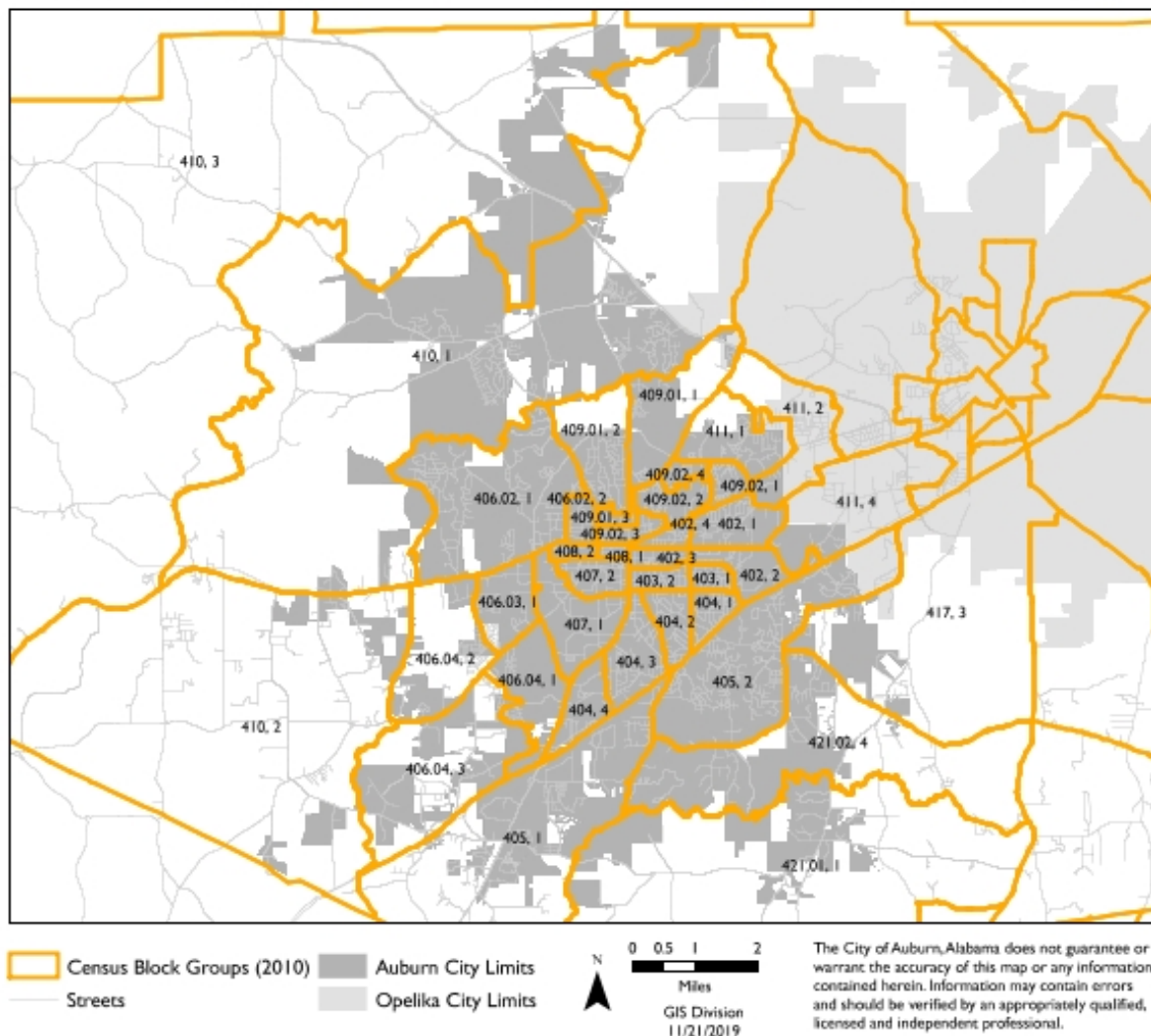




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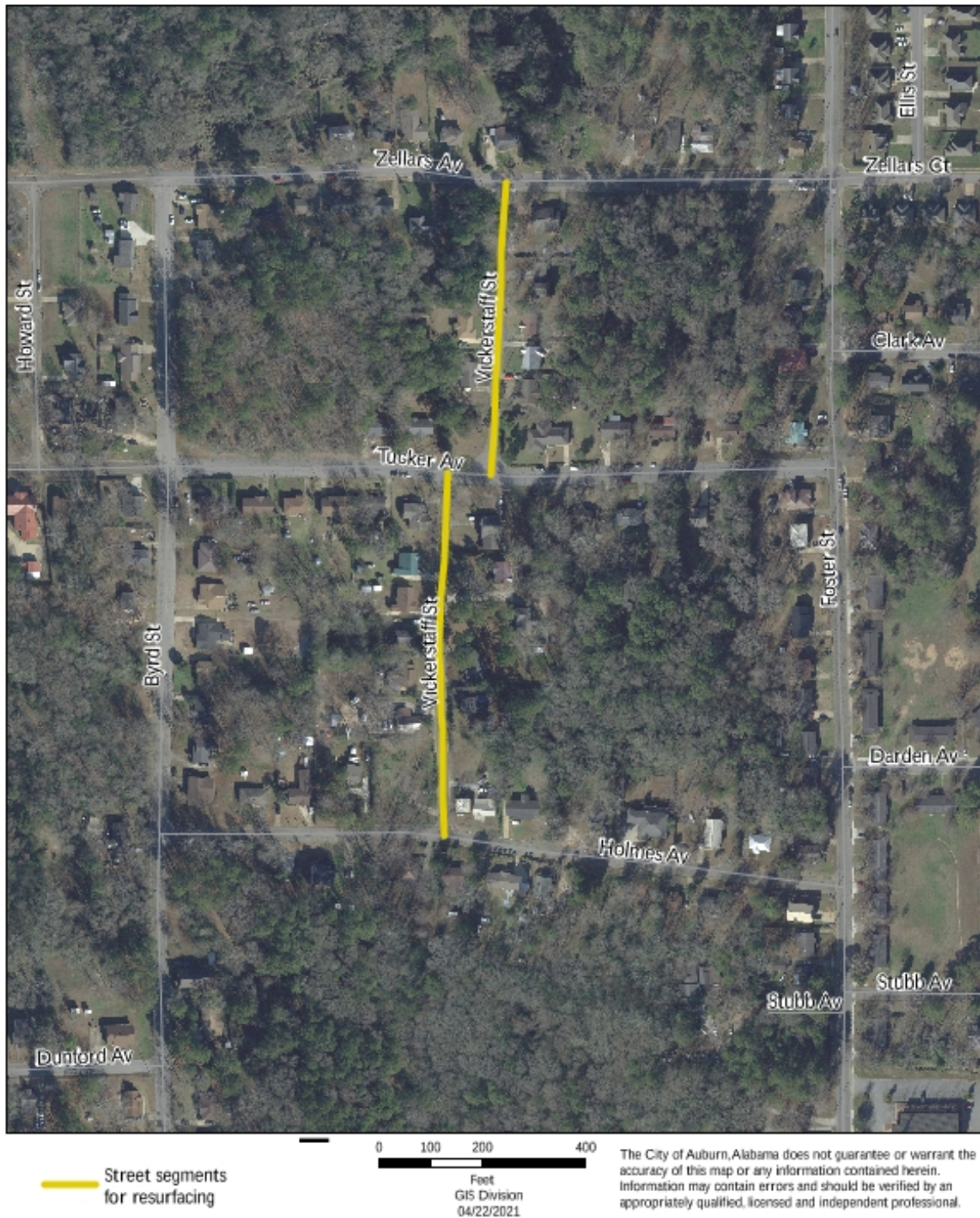


Tree, Black Group																			
Percent of Population	402.08, 1	492.09, 2	492.60, 3	492.86, 4	493.88, 1	493.99, 2	494.00, 3	494.00, 1	494.06, 3	494.06, 1	495.02, 1	495.00, 2	496.02, 1	496.02, 3	496.04, 1	496.04, 2	496.06, 3	497.08, 1	
White	86.2	65.0	72.8	77.3	82.3	81.7	81.7	84.5	80.1	69.2	57.6	88.1	53.7	17.6	61.0	75.3	96.5	84.0	
Black or African American Indian / Alaska Native	4.0	31.5	9.4	13.9	13.1	10.0	10.3	3.5	5.4	25.6	29.3	3.0	37.7	82.4	39.0	30.3	2.8	10.4	
Asian	0.0	0.0	0.0	0.0	0.0	0.0	1.4	0.0	0.0	0.0	0.0	0.0	0.2	0.0	0.0	0.0	0.0	0.1	
Native Hawaiian / Pacific Islander	7.0	3.5	17.8	1.5	0.0	7.0	0.0	0.0	14.5	3.1	13.1	5.8	7.0	0.0	0.0	4.2	0.7	1.5	
Some other race	0.0	0.0	0.0	0.0	3.6	0.0	1.2	0.0	0.0	0.6	0.0	0.0	0.2	0.0	0.0	0.0	0.0	0.0	
Two or more races	2.8	0.0	0.0	3.6	0.0	0.0	6.6	0.0	0.0	1.5	0.0	2.1	1.2	0.0	0.0	0.4	0.0	1.6	
		407.09, 2	498.00, 1	498.00, 2	499.01, 1	499.01, 2	499.01, 3	499.02, 1	499.02, 2	499.02, 3	499.02, 4	499.03, 1	499.00, 2	499.00, 3	499.00, 1	499.00, 2	499.00, 3	499.00, 4	
White	90.8	67.8	84.6	87.8	82.1	82.3	79.3	60.0	61.2	50.0	74.3	38.9	59.3	45.5	42.3	55.2	86.3	100.0	
Black or African American Indian / Alaska Native	4.1	11.1	8.5	9.7	16.5	18.6	30.7	14.5	20.1	10.5	8.6	70.6	36.6	44.2	25.3	34.1	42.8	12.2	
Asian	0.3	0.0	1.9	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.1	0.0	0.0	0.0	0.6	0.0	0.0	
Native Hawaiian / Pacific Islander	4.5	38.6	5.0	1.6	0.3	8.2	0.0	1.8	14.1	39.5	13.3	0.0	4.1	10.4	0.7	1.5	2.7	0.0	
Some other race	0.0	0.0	0.0	0.0	1.1	0.0	0.0	23.8	0.0	0.0	0.9	0.0	0.0	0.0	29.8	5.4	1.2	0.0	
Two or more races	0.4	0.5	0.0	0.8	0.0	11.0	0.0	0.0	4.6	0.0	3.0	0.4	0.1	0.0	0.9	3.3	2.8	1.4	



## Street Resurfacing Project

CDBG Street Resurfacing  
FY 2021

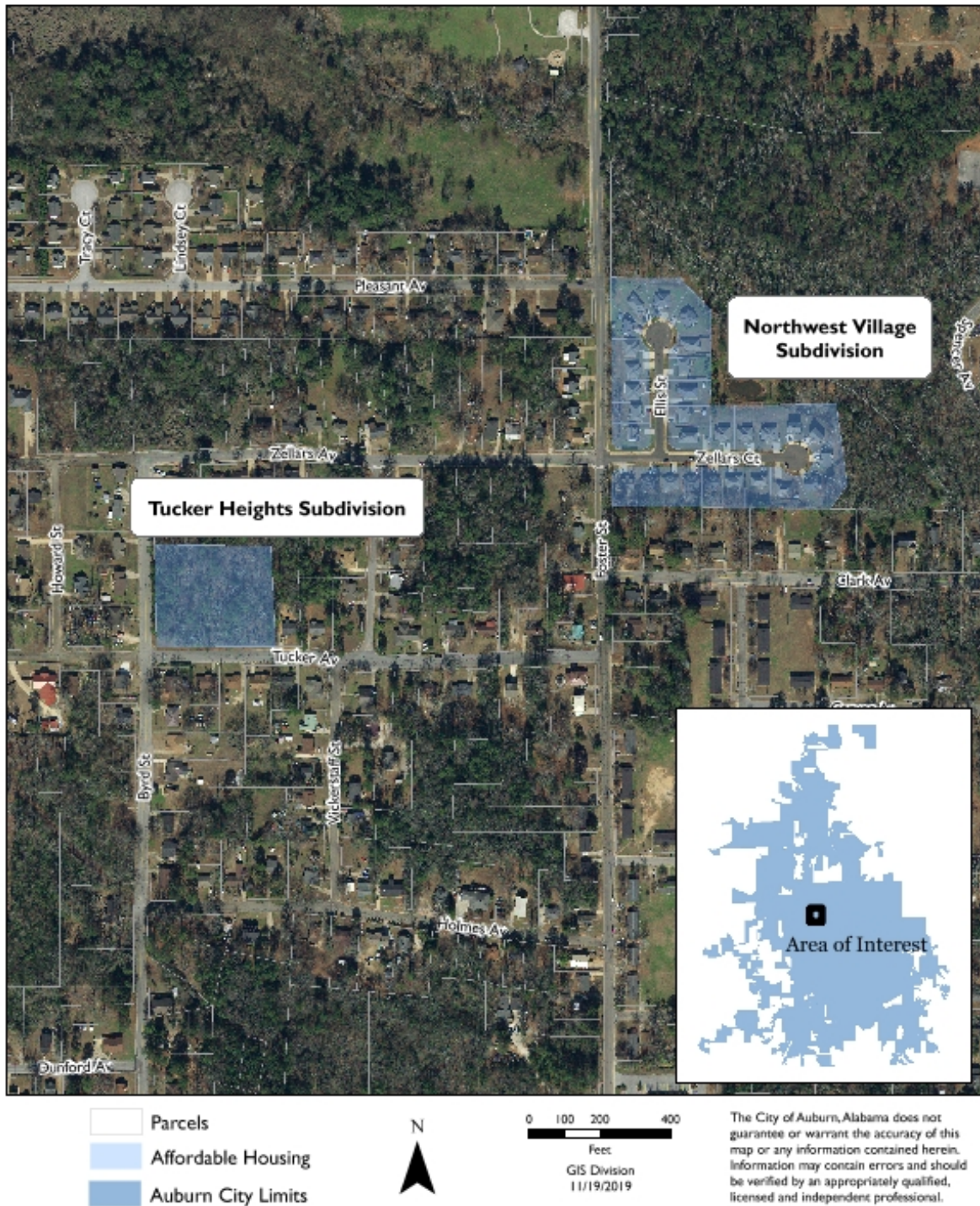


## Tucker Heights Subdivision



# Affordable Housing Locations

Auburn, AL



## Public and Private Agencies Consulted

**City of Auburn, Alabama  
FY2021 Annual Action Plan  
Agencies Consultation**

**AMERICAN RED CROSS**

Agency Representative(s) Consulted Hunter Smart

**AUBURN CITY SCHOOLS DENTAL CLINIC**

Agency Representative(s) Consulted Joy Stanley

**AUBURN DAY CARE CENTERS, INC.**

Agency Representative(s) Consulted Frixie Melton

**AUBURN HOUSING AUTHORITY**

Agency Representative(s) Consulted Sharon Tolbert

**ALABAMA RURAL MINISTRY**

Agency Representative(s) Consulted Lisa Pierce

**BOYS AND GIRLS CLUBS OF GREATER LEE COUNTY**

Agency Representative(s) Consulted Richard Curry

**CHILD ADVOCACY CENTER CENTRAL EAST ALABAMA**

Agency Representative(s) Consulted Katherine Cochrane

**DOMESTIC VIOLENCE INTERVENTION CENTER**

Agency Representative(s) Consulted Tina Evans

**EAST ALABAMA FOOD BANK**

Agency Representative(s) Consulted Martha Henk

**EAST ALABAMA FOOD BANK COMMUNITY MARKET**

Agency Representative(s) Consulted Elise Lott

**EAST ALABAMA MENTAL HEALTH CENTER**

Agency Representative(s) Consulted Jamie Herrin

**ESPERANZA HOUSE, INC.**

Agency Representative(s) Consulted Catherine Lyle

**FAMILY RESOURCE CENTER**

Agency Representative(s) Consulted Tammy Morgan

**HABITAT FOR HUMANITY**

Agency Representative(s) Consulted Mark Grantham

**NORTH AUBURN HOUSING DEVELOPMENT CORPORATION**

Agency Representative(s) Consulted Rev. Jamal Oliver

**JOYLAND CHILD DEVELOPMENT CENTER**

Agency Representative(s) Consulted Pamela Dumas

**PRESBYTERIAN COMMUNITY MINISTRY**

Agency Representative(s) Consulted Mary Turnbull

**UNITED WAY OF LEE COUNTY**

Agency Representative(s) Consulted Becky Benton and Lindsay Hinds

**UNITY WELLNESS OF EAST ALABAMA**

Agency Representative(s) Consulted Tracy Wynne

**WILLIAM MASON EMERGENCY FUND**

Agency Representative(s) Consulted Becky Benton and Chandler Williams



**City of Auburn, Alabama  
FY2021 Annual Action Plan  
City of Auburn Departments Consultation**

**ECONOMIC DEVELOPMENT DEPARTMENT**

Department Representative(s) Consulted Phillip Dunlap

**ENGINEERING DEPARTMENT**

Department Representative(s) Consulted Alison Frazier

**INSPECTION SERVICES DEPARTMENT**

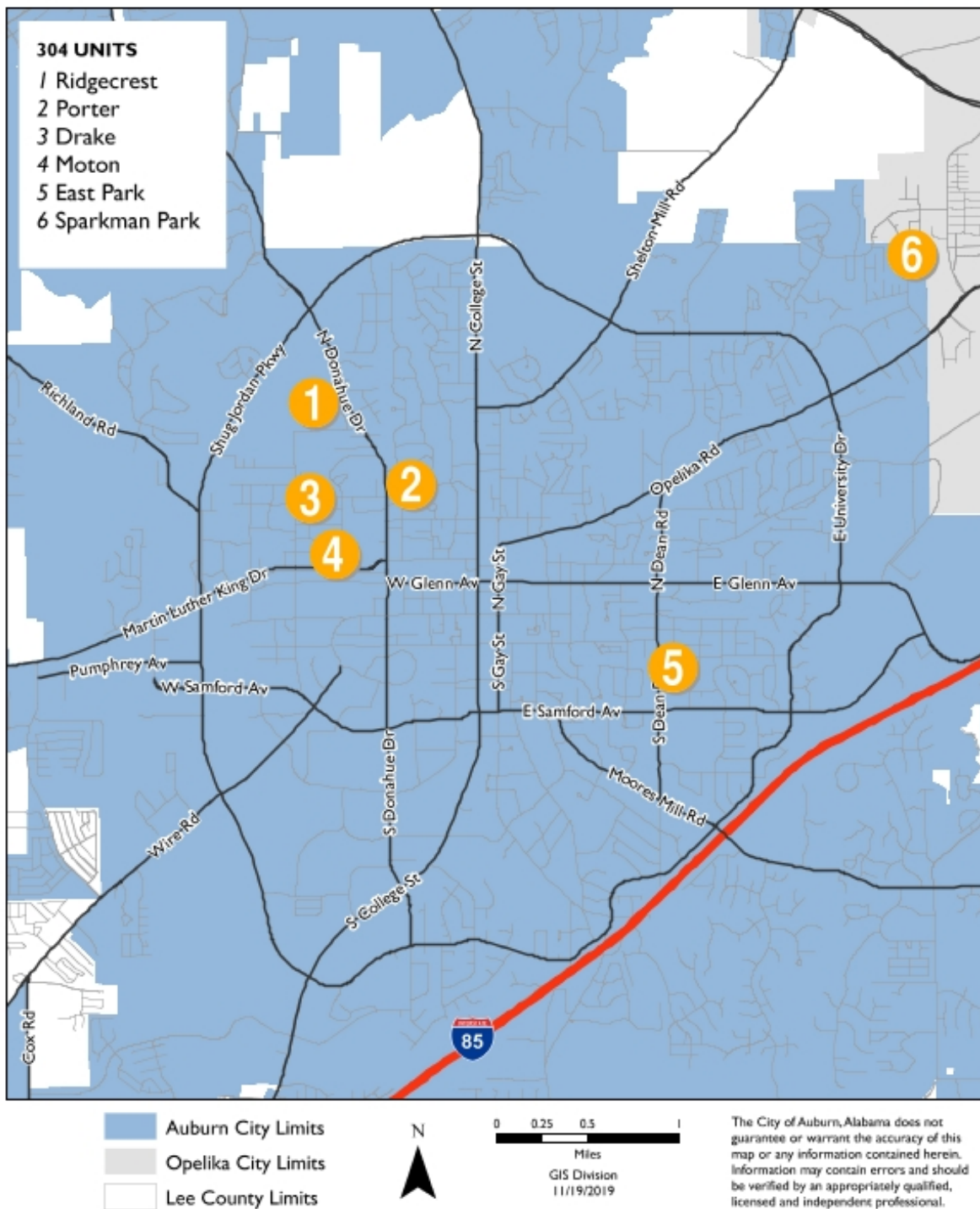
Department Representative(s) Consulted John Hoar

**PLANNING DEPARTMENT**

Department Representative(s) Consulted Forrest Cotton

## Public Housing Locations

Auburn, AL



## Public Notices

## **Public Notices**

### **January 14, 2021**

Public notice in the *Auburn Villager* on January 14, 2021 announcing public hearings on February 1, 2021 relating to the Fiscal Year 2021 Annual Action Plan funding applications.

### **February 18, 2021**

The City published a public notice in the *Auburn Villager* on February 18, 2021, relating to the City of Auburn 2021 Annual Action Plan outline of the proposed use of \$676,129 in CDBG funds, announcing the availability for public inspection of the 2021 Draft Annual Action Plan and the start of a 30-day public comment period.

## Giambone: A look at vaccine rollout

CONTINUED FROM A2

has been officially eradicated; that is, reduced to zero cases and kept there long-term without continuous intervention measures. Smallpox was stamped out thanks to a highly effective vaccine and the fact that humans are the only mammals that are naturally susceptible to infection with the variola virus that causes the disfiguring, sometimes deadly disease.

Humans are the only known reservoir of poliovirus, yet it still spreads in a few countries, causing paralyzing disease, despite the widespread use of effective immunizations and a 32-year-old global eradication effort.

SARS-CoV-2 is thought to persist in nature in bats and has been known to infect minks, cats, gorillas and other small animals. Wiping out the virus would require banishing it from every susceptible species, which isn't feasible.

Efforts to suppress an outbreak need to result in zero new cases of a disease or infection in a defined area over a sustained period. There's no official definition of how long that should be. During pandemic, sustaining elimination of any infectious disease nationwide is challenging, if not impossible, because of the threat of the virus re-entering the country from infected international travelers.

It's not known what proportion of the population needs to have immunity to stop the coronavirus from circulating, or whether even the most potent vaccines will be able to prevent it from spreading. One study estimated that

to stop transmission, 70 to 82 percent of the population would need to have immunity, which can be achieved either by recovering from an infection or through vaccination. Still, there's reason to believe mass inoculations will have a more powerful effect because vaccines appear to elicit stronger and more durable protection than a prior infection.

In addition, mass vaccinations could slow the development of new variants, and persons that had a prior Covid infection developed a significant boost in antibody response after the first dose of vaccine infection, indicating they had developed a durable level of antibody and T-cell producing memory cells after the initial infection.

There's good evidence that the shots made by Pfizer and Moderna are very effective — as much as 95 percent — at preventing recipients from developing clinical disease against the original SARS-CoV-2. However, data haven't been released on their ability to prevent people from developing asymptomatic infections or transmitting the virus to others. Antidotal evidence from Moderna and AstraZeneca have reported that their vaccines can reduce virus transmission by 70 percent. The gold standard in vaccinology is to stop infection as well as disease, providing so-called sterilizing immunity.

Vaccines don't have to be perfect to have a public health benefit. Rotavirus and chickenpox are examples of diseases that have been "virtually eliminated" using vaccines that are very good at

preventing severe disease, quite good at preventing any disease, but that do not completely prevent infection in everyone."

Since SARS-CoV-2 spreads through respiratory particles from an infected person's throat and nose, a vaccine that reduces the amount of virus in the respiratory tract or reduces the frequency an infected person coughs may decrease the likelihood of it being transmitted to others and lower the effective reproduction number (Re), which is the average number of new infections estimated to stem from a single case.

The head of the World Health Organization's emergencies program told reporters Jan. 25 that rather than focusing on eliminating SARS-CoV-2, success should be seen as "reducing the capacity of this virus to kill, to put people in the hospital, to destroy our economic and social lives." Recent data have shown that the top commercially available vaccines can provide at least 80 percent protection against serious illness (hospitalizations and deaths) from all isolated variants.

The WHO has stated, "It appears the destiny of SARS-CoV-2 is to become endemic." Viruses that are endemic continuously circulate in the community, often causing periodic spikes when disease characteristics and human behavioral patterns favor transmission. Examples include norovirus, the notorious cause of gastroenteritis on cruise ships, and the myriad of viruses, including four coronaviruses, that cause the common cold, especially over the winter.

It's unknown how things will evolve, but researchers have begun to spin out

scenarios. People who have survived Covid-19 and those vaccinated against it will probably be protected against the disease for some time — however, the exact length of time will depend on how well existing vaccines protect against newly emerging, highly transmissible variant viruses, one of which recently isolated from South Africa has shown to be a neutralizing escape mutant. No doubt the SARS viruses will continue to mutate and rapidly spread across the globe eventually replacing the original SARS-CoV-19 virus. This will necessitate the continual development and administration of new vaccines, at what rate as yet to be determined.

As more and more people develop immunity through natural exposure or vaccination, the virus will need to find those who are not yet immune. That will mean that people who can't get vaccinated — because their immune systems are compromised; they have allergies to vaccine ingredients; they are too young (none of the vaccines authorized in Western countries have been approved for children less than 18 years of age); or refuse to be vaccinated — will remain vulnerable. Therefore, present mitigations and improved testing and contact tracing will need to be in place for the foreseeable future.

Joseph Giambone is a professor emeritus in Auburn University's Department of Poultry Science with a joint appointment in the Department of Pathobiology in the College of Veterinary Medicine. During his graduate research career at the University of Delaware, he was part of a research group that developed the first vaccine against an antigenic variant of an avian coronavirus.

## Morris: Tumbling down rabbit holes

CONTINUED FROM A2

mental reason, we seem to love conspiracy theories — that there is something sinister behind or beneath every major issue or event.

It bothers me that former military patriots, who swore an oath to uphold the United States Constitution, were duped into believing the "Stop the Steal" madness. Many of these soldiers trampled on the sacred document they swore to uphold and defend.

Their actions show us just how far off course propaganda and conspiracies can take us.

Conspiracy theories are nothing new to politics. They've been around from the beginning when political opposition turned nasty.

Rabbit holes, though, are not just confined to politics. We encounter them in our beliefs that Elvis and Michael Jackson are still alive and that Lyndon Johnson and J. Edgar Hoover planned and carried out the Kennedy assassination.

Also, particularly now, we see it in the unreality that Trump won the election but it was stolen from him. It would be impossible to steal an election because it would require legions of people to stay silent. There hasn't been that kind of silence ever in politics.

Also, there's the rabbit hole of a group of Hollywood

big-wigs and unknown powerful politicians who kidnap young girls and sell them overseas in the sex trade market. Man, it takes a fertile mind to put that one together. But there are people out there who believe it is happening.

Facebook, Twitter and other social media platforms are perfect places to birth and grow conspiracy theories. Computer surfing has made us more isolated than ever. We land on sites where we lack the mental interactions that can keep us free from these twisted, nonsensical theories.

When we read something that doesn't sound quite right, we should stop, think and then ask ourselves if what we're reading could actually be true. If the thought is doubtful, then we're making good progress.

Effort to keep from falling into rabbit holes requires constant vigilance. Rabbit holes can come upon us quickly. So, whether we're on our computers or cell phones we need to be sure we have solid ground under our feet.

Ralph Morris is a retired newspaperman who lives near Auburn. His email address is r.morris@ctvea.net.

### LEGALS (FROM A6)

60 days hereof with the attorney for the Petitioners, whose name and address is shown below and with the Clerk of said Probate Court. Done this 12th day of February, 2021.

/s/ Judge of Probate

Attorney for Petitioners:  
Aaron C. Ryan  
Clout, Ryan & Reese, LLC  
225 Madison Street,  
Ste. 210  
Huntsville, Alabama 35801  
(256) 961-1090

Judge of Probate  
Hon. Greg Cain  
Office of the Judge of Probate  
Morgan County Courthouse  
992 Lee St. NE  
Decatur, Alabama 35602

The City will post the Zoom registration information at [auburnalabama.org/coronavirus/meeting-updates](http://auburnalabama.org/coronavirus/meeting-updates).

For additional information or to request special arrangements at the public hearing (interpreter, etc.), or, depending on the hearing (interpreter, etc.), contact Ashley Simpson at (256) 961-1280 or [ashley@auburnalabama.org](mailto:ashley@auburnalabama.org) no later than March 10, 2021.

The 2021 Annual Action Plan (Plan) is the City's official

Auburn, AL 36802

An executive summary of the City's 2021 Annual Action Plan is available for public review at [auburnalabama.org/community-development-resources](http://auburnalabama.org/community-development-resources).

The Villager  
February 18, 2021

NOTICE OF COURT PROCEEDING

IN THE PROBATE COURT OF LEE COUNTY, ALABAMA

RE: In the Estate of WENDY DIANE CAMERON AKA WENDY DIANE SAVAGE CAMERON, deceased.

Case No. 2021-061

Notice is hereby given that a Petition for Summary Distribution has been filed in the Lee County Probate Office by R.F.

### ALABAMA DEPT OF CORRECTIONS



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# LEGALS

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## NOTICE OF PUBLICATION

IN THE PROBATE COURT OF LEE COUNTY, ALABAMA  
IN THE MATTER OF THE ESTATE OF JAMES ALEXANDER MOORE (d.b. 06-20-2014) Case No. 2020-385

TO: ALEXIS M. GEMBOIS, address unknown  
WILLIAM GREGORY MOORE, address unknown.

Please take notice that a petition for adoption was filed in the Probate Court of Lee County, Alabama by David and Stacie Moore on October 2, 2020, for the adoption of J.A.M. born on June 28, 2014 in Opelika, Lee County, Alabama to Alexis M. Gembois and William Gregory Moore.

A hearing has been set for the 2nd day of March 2021 at 9 o'clock a.m. Central time in the Probate Court, Lee County Courthouse, 215 S. 6th Street, Opelika, Alabama 36801. Should you intend to contest this adoption, you must file a written response with the attorney for the petitioners, Hon. Stephanie M. Polk, 360 A.S. Glen Avenue, Auburn, Alabama 36809 and with the Clerk of the Probate Court of Lee County, Alabama as soon as possible but no later than thirty (30) days from the last day this notice is published, or appear on the date of the hearing as set as above to contest said Petition.

DATED on this 16th day of December, 2020.

/s/ Bill English, Judge of Probate

The Villager  
December 31, 2020,  
January 7, January 14, 2021

## NOTICE OF PUBLICATION OF SUMMARY DISTRIBUTION

IN THE PROBATE COURT OF LEE COUNTY, ALABAMA

IN RE: THE ESTATE OF DOVER L. JOHNSON, JR., Deceased

TO:

Tina Garst  
P.O. Box 352  
Pinckard, AL 36371  
Any other address is further unknown.

Shawn Schaefer  
Address Unknown  
Johnny Schaefer  
Address Unknown

You will hereby take notice, that on this day of January, 2021, the Court a Petition for Summary Distribution on the Estate of DOVER L. JOHNSON, JR., deceased, and moves the Court to Order said Summary Distribution.

You are notified to be and appear before me, at my office in the Courthouse of said County at 10:00 AM, on the 16th day of February, 2021, when the Petition will be considered, and, should, if anything you have to allege, why said paper writing should not be admitted to Probate and Record.

/s/ Bill English, Judge of Probate

The Villager  
December 31, 2020,  
January 7, January 14, 2021

## NOTICE OF APPOINTMENT

IN THE PROBATE COURT OF LEE COUNTY, ALABAMA  
IN RE: CARLA GAIL TUCKER, Deceased

## NOTICE TO CREDITORS

IN THE PROBATE COURT OF LEE COUNTY, ALABAMA  
RE: THE ESTATE OF GLADYS LOUISE SHERWOOD, Deceased.  
Case No. 2020-493

Take Notice that LETTERS TESTAMENTARY of the Estate of GLADYS LOUISE SHERWOOD are hereby granted to Sarah L. Sherwood Riddick on the 8th day of December, 2020, by the Honorable Bill English, Judge of the Probate Court of Lee County, Alabama.

Notice is hereby given that all persons having claims against said estate are hereby required to present the same within the time allowed by law or the same will be barred.

/s/ Sarah L. Sherwood Riddick

The Villager  
December 31, 2020,  
January 7, January 14, 2021

## NOTICE OF COMPLETION

In accordance with Chapter 1, Title 39, Code of Alabama, 1975, notice is hereby given that J.A. Lett Construction Co., Inc., Contractor, has completed the Contract for Construction at Intramural Equipment Storage Pavilion New Structure, Biggs Drive, Auburn University, AL 36849 for the State of Alabama and Auburn University, Owners, and have made request for final settlement of said Contract. All persons having any claim for labor, materials or otherwise in connection with this project should immediately notify:

LBVD, Inc.  
880 Montclair Road,  
Suite 600  
Birmingham, AL 35233

J.A. Lett Construction Co., Inc.  
P.O. Box 1330  
Auburn, AL 36801-1330

The Villager  
December 31, 2020,  
January 7, January 14, 2021

## NOTICE TO FILE CLAIMS

IN THE PROBATE COURT OF LEE COUNTY, ALABAMA  
RE: IN THE MATTER OF THE ESTATE OF ALBERT HUNTER REDDING, DECEASED.

Letters Testamentary on the Estate of Albert Hunter Redding, Deceased, having been granted to the undersigned on the 7th day of January, 2021 by the Honorable Bill English, Judge of Probate of Lee County, Alabama, notice is hereby given that all persons having claims against said estate, are hereby required to present the same within the time allowed by law, or the same will be barred.

/s/ Betty McElorie Redding, Executrix

The Villager  
January 14, 2021,  
January 21, January 28, 2021

## NOTICE TO FILE CLAIMS

IN THE PROBATE COURT OF LEE COUNTY, ALABAMA

RE: IN THE ESTATE OF CARMEL EUGENE JORDAN, DECEASED.

Take notice that Letters of Administration of said deceased having been granted to Georgia Jordan

BAMA  
IN RE: THE ESTATE OF JAMES FLOURNOY WOOD, III, DECEASED  
Case No. 2020-587

Letters of Testamentary on the Estate of James Flournoy Wood, III, deceased, having been granted to the undersigned on the 31st day of December, 2020, by Bill English, Judge of Probate of said County, notice is hereby given that all persons having claims against said estate are hereby required to present the same within the time allowed by law, or the same will be barred.

/s/ Sheri Heurn Wood  
2156 Cardinal Lane  
Auburn, AL 36809

The Villager  
January 14, 2021,  
January 21, January 28, 2021

## NOTICE TO FILE CLAIMS

IN THE PROBATE COURT OF LEE COUNTY, ALABAMA

RE: THE ESTATE OF JOHN NORMAN COPE, DECEASED  
Case No. 2020-528

Letters Testamentary on the Estate of John Norman Cope, deceased, having been granted to the undersigned on the 30th day of December, 2020, by Bill English, Judge of Probate of said County, notice is hereby given that all persons having claims against said estate, are hereby required to present the same within the time allowed by law, or the same will be barred.

/s/ Charlotte Catts Cope,  
1909 Yarbrough Farms Blvd.  
Auburn, AL 36809

The Villager  
January 14, 2021,  
January 21, January 28, 2021

## PUBLIC NOTICE

City of Auburn  
Public Notice of Funding and 2021 Action Plan

Community Development Block Grant (CDBG) CDBG Program Year 2021 Notice of Funding

The City of Auburn is currently accepting applications for Community Development Block Grant (CDBG) funding in 2021. Applications will be considered for food housing and public service activities benefiting low to moderate-income residents. Applications are available at the Community Services Department office or can be requested digitally: [communitydevelopment@auburnalabama.org](mailto:communitydevelopment@auburnalabama.org). Applications and information can be found on the COA website via the link below.

Applications must be complete and received no later than Thursday, February 4, 2021 at 5:00 pm.

An essential component of determining the need for government action is to obtain input from the local public, emphasizing involvement by low- and moderate-income persons. The City recognizes that citizen involvement in the CDBG program planning and development is essential. The public is invited to attend a virtual public hearing related to CDBG funding applications and the 2021 Action Plan process.

Date of Virtual Public Hearing:  
Monday, February 1, 2021 10:00 a.m. and 6:00 p.m.

The City will post the Zoom registration information at:

of Funding  
400 Boykin Street  
Auburn, Alabama 36802

<https://www.auburnalabama.org/communitydevelopment/resources/>

The Villager  
January 14, 2021

## NOTICE OF APPOINTMENT

IN THE PROBATE COURT OF LEE COUNTY, ALABAMA  
RE: The Estate of Chasler Tullis, deceased  
Case No. 2020-498

Letters Testamentary of said deceased having been granted to Mary Tullis on December 17, 2020, by the Honorable Bill English, Probate Judge of Lee County, Alabama, notice is hereby given that all persons having claims against said Estate are hereby required to present the same within the time allowed by law or the same will be barred.

Charles W. Miller, P.C.  
Attn: Mason C. Hall  
5734 Windsor Drive,  
Bldg. 6  
Columbus, Georgia 31909  
(706) 965-7296

The Villager  
January 14, 2021,  
January 21, January 28, 2021



JOHN WILD/THE VILLAGER

Auburn High bested area rival Opelika 74-55 last week

# Tigers to take on Central Friday

Matthew Johnson  
Staff Writer

Since Christmas break, the Auburn High boys basketball team has been hard at work and racking up an impressive run.

The team followed Christmas with a tough loss to a ranked Eufaula team, which won in the final seconds by only three points. The loss was only the Tigers' second of the season and helped fuel an impressive three-game winning streak.

During that stretch the Tigers averaged just over 72 points per game while outscoring their opponents, who averaged just 44.

"Right now, we are playing well," said head coach Chris Brandt. "We beat Starr's Mill, Loachapoka, and our rival Opelika and I think everyone got to play in those last two games. Anyone you beat your rival, it's a good thing."

While Brandt is proud of his team's accomplishments so far, he knows that his boys cannot become complacent with area

play beginning.

"For us, the season starts with Smiths and Friday against Central," said Brandt. "This is the start of the new season."

The Tigers faced off against Smiths Station on Tuesday, easily winning the match-up 79-56, and now turn their attention to the Central Red Devils, who they are set to play on Friday.

"We have to control the tempo," said Brandt. "We have shot the ball well these past three games and to me that's what you have to do."

Despite Brandt implementing his "new season" mentality to his players, he also acknowledged that while area play is just getting kicked off, the season as a whole is coming to an end.

"We don't have very many more games, a lot of them have been canceled due to Covid. So we just need to make sure that we make every game count."

The Tigers will travel to face the Central on Friday, with the game set to begin at 7 p.m.

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## **City Council Resolution**

City of Auburn City Council Approval of the 2021 Action Plan

**RESOLUTION NO. 21-071**

**WHEREAS**, the City of Auburn is an entitlement community making it eligible to receive non-competitive grant funds and is required by the U.S. Department of Housing and Urban Development (HUD) to prepare an Annual Action Plan for the Community Development Block Grant (CDBG) Program, and;

**WHEREAS**, each year, CDBG grantees must submit an Annual Action Plan to the City Council and HUD for adoption. The Annual Action Plan provides a concise summary of the actions, activities, and the specific federal and non-federal resources that will be used to address the priority needs and specific goals identified by the Consolidated Plan and that benefit the City's low- and moderate-income citizens; and

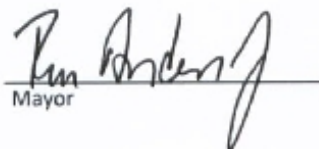
**WHEREAS**, the Annual Action Plan is the process to meet the application requirement for the CDBG formula Program; and

**WHEREAS**, HUD requires the City of Auburn to adhere to its written 2020-2024 Citizen Participation Plan adopted by the City Council on July 21, 2020, which complies with the various citizen participation provisions of 24 CFR 91.100 – 91.115; and

**NOW, THEREFORE, BE IT RESOLVED** by the City Council of the City of Auburn, Alabama, that the CDBG FY2021 Action Plan is hereby adopted, and an Executive Summary is attached hereto and made a part of this Resolution.

**BE IT FURTHER RESOLVED** that the Mayor and the City Manager be, and hereby are, authorized to execute all documents, contracts, and other instruments necessary to facilitate the transaction as contemplated by this Resolution.

**ADOPTED AND APPROVED** by the City Council of the City of Auburn, Alabama, this the 20th day of April 2021.

  
Mayor

ATTEST:

  
City Manager






## Grantee SF-424's and Certification(s)

OMB Number: 4040-0004  
Expiration Date: 12/31/2022

Application for Federal Assistance SF-424			
* 1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application		* 2. Type of Application: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision	
		* If Revision, select appropriate letter(s): <input type="text"/> * Other (Specify): <input type="text"/>	
* 3. Date Received: 05/19/2021		4. Applicant Identifier: <input type="text"/>	
6a. Federal Entity Identifier: <input type="text"/>		5b. Federal Award Identifier: B-21-NC-01-C913	
State Use Only:			
6. Date Received by State: <input type="text"/>		7. State Application Identifier: <input type="text"/>	
8. APPLICANT INFORMATION:			
* a. Legal Name: City of Auburn			
* b. Employer/ taxpayer Identification Number (EIN/TIN): 01-6001195		* c. Organizational DUNS: 040691474	
d. Address:			
* Street1: 101 Tichenor Avenue			
Street2:			
* City: Auburn			
County/Parish:			
* State: AL: Alabama			
Province:			
* Country: USA: UNITED STATES			
* Zip/Postal Code: 36830-0905			
e. Organizational Unit:			
Department Name: Community Services		Division Name: <input type="text"/>	
f. Name and contact information of person to be contacted on matters involving this application:			
Prefix: Mr.		* First Name: Alfred	
Middle Name:			
* Last Name: Davis			
Suffix:			
Title: Community Services Director			
Organizational Affiliation: City of Auburn			
* Telephone Number: 334-501-7275		Fax Number: 334-501-7288	
* Email: adavis@auburnalabama.org			

Application for Federal Assistance SF-424		
<b>* 9. Type of Applicant 1: Select Applicant Type:</b> <input type="text" value="C: City or Township Government"/>		
<b>Type of Applicant 2: Select Applicant Type:</b> <input type="text"/>		
<b>Type of Applicant 3: Select Applicant Type:</b> <input type="text"/>		
<b>* Other (specify):</b> <input type="text"/>		
<b>* 10. Name of Federal Agency:</b> <input type="text" value="U.S. Department of Housing and Urban Development"/>		
<b>11. Catalog of Federal Domestic Assistance Number:</b> <input type="text" value="14-210"/>		
<b>CFDA Title:</b> <input type="text" value="Community Development Block Grant Program"/>		
<b>* 12. Funding Opportunity Number:</b> <input type="text" value="N/A"/>		
<b>* Title:</b> <input type="text" value="N/A"/>		
<b>13. Competition Identification Number:</b> <input type="text" value="N/A"/>		
<b>Title:</b> <input type="text" value="N/A"/>		
<b>14. Areas Affected by Project (Cities, Counties, States, etc.):</b> <input type="text"/>		
<input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="New Attachment"/>		
<b>* 15. Descriptive Title of Applicant's Project:</b> <input type="text" value="CDBG activities: Housing, Land Acquisition, Public Improvements, Public Service, Economic Development, and Administration and Planning."/>		
<b>Attach supporting documents as specified in agency instructions:</b> <input type="button" value="Add Attachments"/> <input type="button" value="Delete Attachments"/> <input type="button" value="New Attachments"/>		

Application for Federal Assistance SF-424	
16. Congressional Districts Of:	
* a. Applicant: <input type="text" value="62-003"/>	* b. Program/Project: <input type="text" value="JLL-003"/>
Attach an additional list of Program/Project Congressional Districts if needed:	
<input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>	
17. Proposed Project:	
* a. Start Date: <input type="text" value="06/01/2021"/>	* b. End Date: <input type="text" value="06/31/2022"/>
18. Estimated Funding (\$):	
* a. Federal:	<input type="text" value="586,092.00"/>
* b. Applicant:	<input type="text" value=""/>
* c. State:	<input type="text" value=""/>
* d. Local:	<input type="text" value=""/>
* e. Other:	<input type="text" value=""/>
* f. Program Income:	<input type="text" value="100,000.00"/>
* g. TOTAL:	<input type="text" value="686,092.00"/>
* 19. Is Application Subject to Review By State Under Executive Order 12372 Process? <input type="checkbox"/> a. This application was made available to the State under the Executive Order 12372 Process for review on <input type="text"/> . <input type="checkbox"/> b. Program is subject to E.O. 12372 but has not been selected by the State for review. <input checked="" type="checkbox"/> c. Program is not covered by E.O. 12372.	
* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes", provide explanation and attach <input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>	
21. "By signing this application, I certify (1) to the statements contained in the list of certifications" and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances" and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001) <input checked="" type="checkbox"/> ** I AGREE ** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.	
Authorized Representative:	
Prefix: <input type="text" value="Mrs."/>	* First Name: <input type="text" value="Deanna"/>
Middle Name: <input type="text" value="M."/>	
* Last Name: <input type="text" value="Crouch"/>	
Suffix: <input type="text" value=""/>	
* Title: <input type="text" value="City Manager"/>	
* Telephone Number: <input type="text" value="334-501-7260"/>	Fax Number: <input type="text" value="334-501-7109"/>
* Email: <input type="text" value="mccrown@auburnalabama.org"/>	
* Signature of Authorized Representative: 	* Date Signed: <input type="text" value="05/19/2021"/>

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.


**PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.**

**NOTE:** Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4726-4763) relating to prescribed standards of merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. Those include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-362) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1581 1583, and 1685-1688) which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (28 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-648) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 100(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from: (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect; (2) Procuring a commercial sex act during the period of time that the award is in effect or; (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	TITLE
	City Manager
APPLICANT ORGANIZATION	DATE SUBMITTED
City of Auburn	5/19/21

SF-124D (Rev. 7-97) Back

### **Specific CDBG Certifications**

The Entitlement Community certifies that:

**Citizen Participation** – It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

**Community Development Plan** – Its consolidated housing and community development plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that provide decent housing, expand economic opportunities primarily for persons of low and moderate income. (See CFR 24 570.2 and CFR 24 part 570)

**Following a Plan** – It is following a current consolidated plan (or Comprehensive Housing Affordability Strategy) that has been approved by HUD.

**Use of Funds** – It has complied with the following criteria:

1. **Maximum Feasible Priority.** With respect to activities expected to be assisted with CDBG funds, it certifies that it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low and moderate income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include activities which the grantee certifies are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available;
2. **Overall Benefit.** The aggregate use of CDBG funds including section 108 guaranteed loans during program year(s) 2021, (a period specified by the grantee consisting of one, two, or three specific consecutive program years), shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period;
3. **Special Assessments.** It will not attempt to recover any capital costs of public improvements assisted with CDBG funds including Section 108 loan guaranteed funds by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements. However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

The Jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108, unless CDBG funds are used to pay the proportion of fee or assessment attributable to the capital costs of public improvements financed from other revenue sources. In this case, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds. Also, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by

a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

**Excessive Force** -- It has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and
2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction;

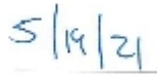
**Compliance With Anti-discrimination laws** -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 USC 2000d), the Fair Housing Act (42 USC 3601-3619), and implementing regulations.

**Lead-Based Paint** -- Its activities concerning lead-based paint will comply with the requirements of 24 CFR Part 35, subparts A, B, J, K and R;

**Compliance with Laws** -- It will comply with applicable laws.



Megan McGowen Crouch  
City Manager  
City of Auburn, Alabama



Date



## CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

**Affirmatively Further Fair Housing** --The jurisdiction will affirmatively further fair housing.

**Uniform Relocation Act and Anti-displacement and Relocation Plan** -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, (42 U.S.C. 4601-4655) and implementing regulations at 49 CFR Part 24. It has in effect and is following a residential anti-displacement and relocation assistance plan required under 24 CFR Part 42 in connection with any activity assisted with funding under the Community Development Block Grant or HOME programs.

**Anti-Lobbying** -- To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

**Authority of Jurisdiction** --The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

**Consistency with plan** --The housing activities to be undertaken with Community Development Block Grant, HOME, Emergency Solutions Grant, and Housing Opportunities for Persons With AIDS funds are consistent with the strategic plan in the jurisdiction's consolidated plan.


**Section 3** -- It will comply with section 3 of the Housing and Urban Development Act of 1968 (12 U.S.C. 1701u) and implementing regulations at 24 CFR Part 75.

  
Signature of Authorized Official  
  
Title

9/20/21  
Date

Application for Federal Assistance SF-424		
* 1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application		
* 2. Type of Application: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision		
* If Revision, select appropriate letter(s): <input type="text"/>		
* Other (Specify): <input type="text"/>		
* 3. Date Received: 04/21/2021		4. Applicant Identifier: <input type="text"/>
5a. Federal Entity Identifier: <input type="text"/>		5b. Federal Award Identifier: S-21-MC-01-0013
State Use Only: 6. Date Received by State: <input type="text"/>		
7. State Application Identifier: <input type="text"/>		
<b>B. APPLICANT INFORMATION:</b>		
* a. Legal Name: City of Auburn		
* b. Employer/Taxpayer Identification Number (EIN/TIN): 63-5001195		* c. Organizational DUNS: 040591474
d. Address:		
* Street1: 104 Michigan Avenue		
Street2: <input type="text"/>		
* City: Auburn		
County/Parish: <input type="text"/>		
* State: AL: Alabama		
Province: <input type="text"/>		
* Country: USA: UNITED STATES		
* Zip / Postal Code: 36830-4785		
e. Organizational Unit:		
Department Name: Community Services		Division Name: <input type="text"/>
f. Name and contact information of person to be contacted on matters involving this application:		
Prefix: Mr.		* First Name: Alfred
Middle Name: J		
* Last Name: Davis		
Suffix: Sr.		
Title: Community Services Director		
Organizational Affiliation: City of Auburn		
* Telephone Number: 334-501-7275		Fax Number: 334-501-7289
* Email: edavis@auburnalabama.org		

<b>Application for Federal Assistance SF-424</b>		
<b>* 9. Type of Applicant 1: Select Applicant Type:</b> <input type="text" value="City or Township Government"/>		
<b>Type of Applicant 2: Select Applicant Type:</b> <input type="text"/>		
<b>Type of Applicant 3: Select Applicant Type:</b> <input type="text"/>		
<b>* Other (specify):</b> <input type="text"/>		
<b>* 10. Name of Federal Agency:</b> <input type="text" value="U.S. Department of Housing and Urban Development"/>		
<b>11. Catalog of Federal Domestic Assistance Number:</b> <input type="text" value="14.218"/>		
<b>CFDA Title:</b> <input type="text" value="Community Development Block Grant Program"/>		
<b>* 12. Funding Opportunity Number:</b> <input type="text" value="N/A"/>		
<b>Title:</b> <input type="text" value="N/A"/>		
<b>13. Competition Identification Number:</b> <input type="text" value="N/A"/>		
<b>Title:</b> <input type="text" value="N/A"/>		
<b>14. Areas Affected by Project (Cities, Counties, States, etc.):</b> <input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Clear Attachment"/> <input type="button" value="Delete Attachment"/>		
<b>* 15. Descriptive Title of Applicant's Project:</b> <input type="text" value="CDBG activities for housing, land acquisition, public improvements, public service, economic development, and administration and planning."/>		
<b>Attach supporting documents as specified in agency instructions.</b> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="Clear Attachment"/>		

Application for Federal Assistance SF-424	
16. Congressional Districts Of:	
* a. Applicant: <input type="text" value="AL-003"/>	* b. Program/Project: <input type="text" value="AL-003"/>
Attach an additional list of Program/Project Congressional Districts if needed.	
<input type="text"/>	<input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>
17. Proposed Project:	
* a. Start Date: <input type="text" value="06/01/2021"/>	* b. End Date: <input type="text" value="05/31/2023"/>
18. Estimated Funding (\$):	
* a. Federal	<input type="text" value="876,129.00"/>
* b. Applicant	<input type="text" value=""/>
* c. State	<input type="text" value=""/>
* d. Local	<input type="text" value=""/>
* e. Other	<input type="text" value=""/>
* f. Program Income	<input type="text" value="100,000.00"/>
* g. TOTAL	<input type="text" value="776,129.00"/>
* 19. Is Application Subject to Review By State Under Executive Order 12372 Process? <input type="checkbox"/> a. This application was made available to the State under the Executive Order 12372 Process for review on <input type="text"/> <input type="checkbox"/> b. Program is subject to E.O. 12372 but has not been selected by the State for review. <input checked="" type="checkbox"/> c. Program is not covered by E.O. 12372.	
* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes", provide explanation and attach <input type="text"/> <input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>	
21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 28, Section 1001) <input checked="" type="checkbox"/> ** I AGREE ** The list of certifications and assurances, or an Internet site where you may obtain this list, is contained in the announcement or agency specific instructions.	
Authorized Representative:	
Prefix: <input type="text" value="Kra."/>	* First Name: <input type="text" value="Megan"/>
Middle Name: <input type="text" value="L."/>	
* Last Name: <input type="text" value="Crouch"/>	
Suffix: <input type="text" value=""/>	
* Title: <input type="text" value="City Manager"/>	
* Telephone Number: <input type="text" value="334-531-7260"/>	Fax Number: <input type="text" value="334-531-7339"/>
* Email: <input type="text" value="margowend@suburbanlabama.org"/>	
* Signature of Authorized Representative: 	* Date Signed: <input type="text" value="04/21/2021"/>

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0346-0042), Washington, DC 20503.

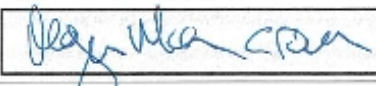
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14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) implementation Plans under Section 175(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
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SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	TITLE
	City Manager
APPLICANT ORGANIZATION	DATE SUBMITTED
City of Auburn	4/21/21

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### **General Certifications**

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

**Affirmatively Further Fair Housing** -- The jurisdiction will affirmatively further fair housing, which means it will conduct an analysis of impediments to fair housing choice within the jurisdiction, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting that analysis and actions in this regard.

**Anti-displacement and Relocation Plan** -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, and implementing regulations at 49 CFR 24; and it has in effect and is following a residential anti displacement and relocation assistance plan required under section 104(c) of the Housing and Community Development Act of 1974, as amended, in connection with any activity assisted with funding under the CDBG or HOME programs.

**Drug Free Workplace** -- It will or will continue to provide a drug-free workplace by:

1. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
2. Establishing an ongoing drug-free awareness program to inform employees
  - about -- (a) The dangers of drug abuse in the workplace;
  - (b) The grantee's policy of maintaining a drug-free workplace;
  - (c) Any available drug counseling, rehabilitation, and employee assistance programs;
  - and (d) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
3. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph 1;
4. Notifying the employee in the statement required by paragraph 1 that, as a condition of employment under the grant, the employee will --
  - (a) Abide by the terms of the statement; and
  - (b) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;
5. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph 4(b) from an employee or otherwise receiving actual notice of such conviction.



Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

6. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph 4(b), with respect to any employee who is so convicted:
  - (a) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
  - (b) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;
7. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs 1, 2, 3, 4, 5 and 6.

**Anti-Lobbying --** To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

**Authority of Jurisdiction --** The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.



**Consistency with plan** – The housing activities to be undertaken with CDBG, HOME, ESG, and HOPWA funds are consistent with the strategic plan.

**Section 3** – It will comply with section 3 of the Housing and Urban Development Act of 1968, and implementing regulations at 24 CFR Part 135.



Megan M. Crouch  
City Manager  
City of Auburn, Alabama

4/21/21  
Date

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### Specific CDBG Certifications

The Entitlement Community certifies that:

**Citizen Participation** – It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

**Community Development Plan** – Its consolidated housing and community development plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that provide decent housing, expand economic opportunities primarily for persons of low and moderate income. (See CFR 24 570.2 and CFR 24 part 570)

**Following a Plan** – It is following a current consolidated plan (or Comprehensive Housing Affordability Strategy) that has been approved by HUD.

**Use of Funds** – It has complied with the following criteria:

1. **Maximum Feasible Priority.** With respect to activities expected to be assisted with CDBG funds, it certifies that it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low and moderate income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include activities which the grantee certifies are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available);
2. **Overall Benefit.** The aggregate use of CDBG funds including section 108 guaranteed loans during program year(s) 2021, (a period specified by the grantee consisting of one, two, or three specific consecutive program years), shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period;
3. **Special Assessments.** It will not attempt to recover any capital costs of public improvements assisted with CDBG funds including Section 108 loan guaranteed funds by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements. However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108, unless CDBG funds are used to pay the proportion of fee or assessment attributable to the capital costs of public improvements financed from other revenue sources. In this case, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds. Also, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by

a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

**Excessive Force** -- It has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and
2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction;

**Compliance With Anti-discrimination laws** -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 USC 2000d), the Fair Housing Act (42 USC 3601-3619), and implementing regulations.

**Lead-Based Paint** -- Its activities concerning lead-based paint will comply with the requirements of 24 CFR Part 35, subparts A, B, J, K and R;

**Compliance with Laws** -- It will comply with applicable laws.



Megan McGowen Crouch  
City Manager  
City of Auburn, Alabama

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Date